

## **SPATIAL DATA FOR LANDSLIDE SUSCEPTIBILITY, HAZARD, AND VULNERABILITY ASSESSMENT, AN OVERVIEW.**

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**Abstract:** The aim of this paper is to discuss a number of issues related to the use of spatial information for landslide susceptibility, hazard, and vulnerability assessment. The paper centers around the types of spatial data needed for each of these components, and the methods for obtaining them. A number of concepts are illustrated using an extensive spatial dataset for the city of Tegucigalpa in Honduras. The paper intends to supplement the information given in the “Guidelines for Landslide Susceptibility, Hazard and Risk Zoning for Land Use Planning” by the Joint ISSMGE, ISRM and IAEG Technical Committee on Landslides and Engineered Slopes (JTC-1). The last few decades have shown a very fast development in the application of digital tools such as Geographic Information Systems, Digital Image Processing, Digital Photogrammetry and Global Positioning Systems. In landslide risk assessment at scales of 1:10,000 or smaller, GIS has become the standard tool. Much progress has been made in the generation of Digital Elevation Models obtained from different sources ranging from SRTM to LIDAR and its use in the generation of landslide inventories. Landslide inventories can now make use of a variety of approaches, ranging from digital stereo image interpretation to automatic classification based either on spectral or altitude differences or a combination of both.

Landslide inventory databases are becoming available to more countries and several are now also available through the internet. A comprehensive landslide inventory is a must in order to be able to quantify both landslide hazard and risk. With respect to the environmental factors used in landslide hazard assessment, there is a tendency to utilize those data layers that are easily obtainable from Digital Elevation Models and satellite imagery, whereas less emphasis is on those data layers that require detailed field investigations. A review is given of the trends in collecting environmental factors related to Digital Elevation Models, geology and soils, geomorphology and land use. Data on triggering factors can be obtained from rainfall records or earthquake catalogs. However, the linkage with landslide dates still remains the main problem in utilizing them to estimate temporal probability. Element at risk information for landslide vulnerability and risk assessment is mostly restricted to buildings, population and infrastructure. Existing cadastral maps and census data are used for generating an elements at risk database. If these data are not available the combination of high resolution imagery with LiDAR data can be very effective in generating a building footprint map.

**Keywords:**

Spatial data, landslides, landslide inventory mapping, environmental factors, triggering factors, elements at risk.

**1. INTRODUCTION**

The first extensive papers on the use of spatial information in a digital context for landslide susceptibility mapping date back to the late seventies and early eighties of the

last century. Among the pioneers in this field were Brabb and co-workers in California (Brabb et al., 1978) and Carrara and co-workers in Italy (Carrara *et al.*, 1977). Nowadays, practically all research on landslide susceptibility and hazard mapping makes use of digital tools for handling spatial data such as GIS, GPS and Remote Sensing. These tools also have defined, to a large extent, the type of analysis that can be carried out. It can be stated that GIS has determined, to a certain degree, the current state of the art in landslide hazard and risk assessment. A clear example of this is the tendency that can be observed in the landslide literature to develop new tools for spatial data integration, whereas less emphasis is given to the collection of relevant factor maps and their relationship with different causal mechanisms of landslides in the areas studied. There are comparatively few studies on detailed geomorphological mapping and the use of expert opinion in direct hazard assessment, whereas the number of papers on statistical and process modeling has increased substantially. In many of these situations one can question the appropriate use of spatial data in relation to the objectives and the method that is applied. This is particularly so for landslide studies that cover larger areas. Glade and Crozier (2005) present an interesting discussion on the relation between data availability, model complexity and predictive capacity, based on earlier work by Grayson *et al.* (2002). They conclude that each type of model has an optimum data set (in terms of resolution, accuracy, and complexity) and that an increase in the data availability will not lead to an increase in the predictive capacity, using the same model complexity. In general it can be stated that the data availability decreases with increasing size of study areas, and that therefore the complexity of the models used in hazard and risk analysis should be proportionally to the scale. Eventually, it is the data availability that is the main limiting

factor in landslide hazard and risk assessment.

Landslide risk assessment intends to estimate the expected losses due to landslides for a particular area and time period (Varnes, 1984). These losses can be direct or indirect, and can be physical, social, economic or environmental in nature. Most of the published work on landslide risk assessment is limited to the estimation of direct physical losses to buildings and infrastructure, and human casualties/injuries estimations (Alexander, 2005). When dealing with physical losses, (specific) risk can be quantified as the product of vulnerability, cost or amount of the elements at risk and the probability of occurrence of the event (Varnes, 1984; Fell 1994; Leroi , 1996; Lee and Jones, 2004; Glade et al., 2005). When we look at the total risk, the probability of landslide occurrence is multiplied with the expected losses for all different types of the exposed elements at risk (= vulnerability x amount), and this is done for all landslide types and magnitudes. Figure 1, based on Van Westen et al. (2005) gives a schematic overview of the various components of landslide risk assessment.

Landslide risk assessment consists of a series of components, which can be subdivided in the collection of basic data, susceptibility and hazard modeling, vulnerability assessment, and risk assessment. The hazard assessment procedure consists of three individual components: landslide initiation modeling, landslide frequency-magnitude analysis and landslide run-out analysis. Landslide initiation modeling is considered the most crucial aspect in landslide risk assessment, as its results indicate the spatial and temporal probability of landslide initiation for a given area. It is mostly subdivided into a landslide susceptibility assessment, which emphasizes on the generation of a map indicating different degrees of (relative) spatial probability, and the actual

hazard assessment which incorporates the temporal aspect as well. Once the spatial and temporal probability of landslide initiation is known, this should be combined with a prediction on the expected landslide volume and intensity, through a magnitude-frequency analysis, which looks at the size distribution of landslide of a particular time within a give area (e.g.Malamud et al., 2004). This then forms the input for landslide run-out assessment, which is either done empirically or through modeling (e.g. Begueria et al., 2006). The resulting maps for initiation hazard and run-out hazard form the input for the estimation of magnitude-loss relationships and the evaluation of (physical) vulnerability. The hazard and vulnerability data can then be combined with a quantification of the elements at risk, which can be either in monetary values or simply in numbers (of exposed buildings, length of road etc.) in order to derive specific risk for a particular landslide type, and set of elements at risk. The combination of the specific risks for all landslide types and all elements at risk will result in the total risk assessment. The total risk can be represented in the form of a risk curve, in which the annual probability of a number of individual events with different return periods is plotted against their associated losses. The total area under the curve is the total risk, which forms the basis for the analysis of possible risk reduction strategies. In the case of societal risk evaluation the expected population losses are mostly represented as F-N curves (GEO, 1999).

The framework for landslide risk assessment given in Figure 1 is representing an ideal situation, as there have been very few studies that were able to go through the entire procedure (Carrara et al., 1995; Baum et al., 2001; Guzzetti et al., 2005, Wong, 2005). Hong Kong is internationally considered as the role model for landslide risk assessment, as the extensive data collection enables the Geotechnical Engineering Office to develop

both qualitative risk rating based methods as well as detailed Quantitative Risk Assessment methods (Wong and Ko, 2005). For instance, due to the collection and interpretation of large scale aerial photographs on an annual basis, it is possible to derive landslide magnitude-frequency relations for most of the slopes in the Hong Kong area, and use these in Quantitative Risk Assessment, and risk based disaster reduction planning applying cost-benefit analysis. In many other countries, the main obstacle for being able to carry out a complete landslide risk assessment is related to the availability of input data, especially for risk studies that cover larger areas. This paper gives an overview of the spatial data requirements for landslide susceptibility and hazard assessment, and for the collection of elements at risk data needed for vulnerability and risk assessment (indicated as the upper block in Figure 1). The focus in this paper is not on detailed mapping scales, but on scales of 1:10,000 or less. The paper intends to supplement the information given in the “Guidelines for Landslide Susceptibility, Hazard and Risk Zoning for Land Use Planning” by the Joint ISSMGE, ISRM and IAEG Technical Committee on Landslides and Engineered Slopes (JTC-1, 2007). A number of concepts are illustrated using a spatial dataset for the city of Tegucigalpa, the capital of Honduras. Tegucigalpa was severely hit by both flooding and landslides, during the passing of hurricane Mitch in 1998, and over 1000 people were killed by landslides in the city, of which the landslides named El Berrinche and El Reparto were the largest ones (Harp et al., 2002a; Mastin, 2002).

**Figure 1:** *somewhere here*

## 2. SPATIAL DATA TYPES

Table 1 gives a schematic overview of the main data layers required for landslide susceptibility, hazard and risk assessment (indicated in the upper row of Figure 1). These can be subdivided into four groups: landslide inventory data, environmental factors, triggering factors, and elements at risk (Van Westen *et al.*, 2005). Of these, the landslide inventory is by far the most important, as it should give insight into the location of landslide phenomena, the types, failure mechanisms, causal factors, frequency of occurrence, volumes and the damage that has been caused. Landslide inventory databases should display information on landslide activity, and therefore require multi-temporal landslide information over larger regions. For detailed mapping scales, activity analysis is often restricted to a single landslide and becomes more landslide monitoring. The environmental factors are a collection of data layers that are expected to have an effect on the occurrence of landslides, and can be utilized as causal factors in the prediction of future landslides. The list of environmental factors indicated in Table 1 is not exhaustive, and it is important to make a selection of the specific factors that are related to the landslide types and failure mechanisms in each particular environment. However, they do give an idea of the types of data included, related to morphometry, geology, soil types, hydrology, geomorphology and land use. It is not possible to give a prescribed uniform list of causal factors. The selection of causal factors differs, depending on the scale of analysis, the characteristics of the study area, the landslide type, and the failure mechanisms.

Landslide hazard and risk assessment can be carried out at different mapping scales defined as small (<1:100,000), medium (1:100,000 to 1:25,000), large (1:25,000 to 1:5,000) and detailed (>5,000) (see JTC-1, 2007, this volume). Methods for landslide susceptibility and hazard modeling can be grouped in (Aleotti and Chowdhury, 1999; Guzzetti *et al.*, 1999):

- Heuristic methods in which expert knowledge plays the main role in defining the susceptibility, or hazard classes (e.g Barredo *et al.*, 2000); Here the landslide inventory is the only crucial data layer, and the expert decides which other data

layers to consider, and how they are weighted. Often also the Geomorphological information is considered crucial in a heuristic approach, if following more a direct hazard mapping approach. If the heuristic approach uses spatial multi criteria evaluation, then all landslide causal factors are evaluated with respect to their relative contribution to the occurrence of different landslide types..

- Statistical methods and data integration methods (like Artificial Neural Network analysis) in which a series of environmental factors are correlated with the past landslide inventory in order to find out the critical combinations of factors for different landslide types and settings (e.g. Chung and Fabbri, 2005). The crucial data layers are the landslide inventory map, the DEM and its derivatives, and a lithological map. Many other data layers can be included, depending on the landslide type and failure mechanism. For instance if the study involves rockslope failures, than also structural geological data is essential.
- Deterministic or physical process modeling, in which the slope stability is modeled using physical models, resulting in factor of safety values, and failure probability. For soil related shallow landslides slope hydrology modeling forms the most critical part of the analysis and can be done either using static (e.g. Pack et al.,1998) or dynamic models (e.g. Van Beek, 2002). Soil types, with associated geotechnical and hydrological parameters, soil depth, elevation and landuse form the crucial data layers for this type of analysis.
- Probabilistic methods, in which the temporal probability is included, based on historic landslide records (e.g. Coe et al., 2004), the correlation of known landslide dates with antecedent rainfall or earthquake catalogs (e.g. Gabet et al.,

2004), the inclusion of event-based landslide inventory maps in statistical analysis (e.g. Zezere et al., 2004) or the analysis of time series of rainfall in dynamic modeling (e.g. Wilkinson et al., 2002). Crucial in this method are all data layers that can provide a temporal correlation between the landslide occurrences, and triggering factors.

The relation between the mapping scale, the feasibility for data collection, and the optimal type of hazard model for the four scales has been discussed amongst others by Leroi (1996), Soeters and Van Westen (1996) and Aleotti and Chowdury (1999). Table 1 intends to provide a summary of this discussion. Each of the cells in this table could be explained in detail, but here only some of the main points are discussed. In the subsequent part of this paper only the main components will be discussed in more detail. From Table 1 it can be concluded that the use of deterministic models is restricted to detailed and large scales. The applicability at medium and small scales is low, due to the difficulties in parameterizing the process models, and especially due to the problems in modeling soil thicknesses over large areas. The majority of deterministic models used over larger areas are based on the infinite slope model and basically only applicable to surficial landslides. Other process models exist for runout analysis, such as for debrisflows or rockfall. Statistical methods are most appropriate at a medium scale, and require the collection of both a detailed landslide inventory, and a wide range of environmental factors. They are less suitable at large and detailed scales because of the lower spatial variability of the landslide causal factors (e.g. often within the same lithological units). At small scales it may be difficult to collect sufficient landslide information over very large areas, which are a requirement for statistical methods. Heuristic methods can be used at all scales, but in practice they are mostly used on medium and small ones. They require more or less the same set of environmental factors as the statistical methods. The selection of the causal factors for a particular landslide type or failure mechanism is often an iterative process, in which causal factor maps are reclassified, and tested using statistical methods. A combination of heuristic and statistical methods often gives the best results.

The basic data can be subdivided into those that are more or less static, and those that are dynamic and need to be updated regularly (See Table 1). Examples of static data sets are related to geology, soil types, geomorphology and morphography. The time frame for the updating of dynamic data may range from hours to days, for example for meteorological data and its effect on slope hydrology, to months and years for land use and population data (see Table 1). Landslide information needs to be updated continuously, and land use and elements at risk data need to have an update frequency which may range from 1 to 10 years, depending on the dynamics of land use change in an area. Especially the land use information should be evaluated with care, as this is both an environmental factor, which determines the occurrence of new landslides, as well as an element at risk, which may be affected by landslides.

*Table 1: Somewhere here*

Table 1 also gives an indication of the extent to which remote sensing data can be utilized to generate the various data layers (based on Soeters and van Westen, 1996). For a number of data layers the main emphasis in data acquisition is on field mapping, field measurements or laboratory analysis, and remote sensing imagery is only of secondary importance. This is particularly the case for the geological, geomorphological, and soil data layers. The soil depth and slope hydrology information, which are very important in physical modeling of slope stability are also the most difficult to obtain, and remote sensing has not proven to be a very important tool for these. On the other hand, however, there are also data layers for which remote sensing data can be the main source of information. This is particularly so for landslide inventories, digital elevation models, and land use maps.

In the following sections an overview is given of the methods for spatial data collection. Most emphasis is given to landslide inventories, given their high importance, but also a number of aspects dealing with environmental factors, triggering factors and elements at risk will be discussed and illustrated.

### **3. Landslide inventory mapping**

In order to make a reliable map that predicts the landslide hazard and risk in a certain area, it is crucial to have insight in the spatial and temporal frequency of landslides, and therefore each landslide hazard or risk study should start by making a landslide inventory that is as complete as possible in both space and time (Ibsen and Brunsden, 1996). Landslide inventories can be carried out using a variety of techniques, which are summarized in Table 2.

**Table 2:** *Somewhere here*

Fairly complete landslide occurrence information can often be derived from the archives of organizations dealing with the maintenance of roads or railway lines. However, such databases should be treated with care, as the exact reporting date might not be the date that the landslide occurred, but rather the date on which the maintenance took place. Also the information will only be available for the road or railway alignment, and it doesn't give a complete picture of landslide occurrence over the entire study area. Newspaper archives and logbooks of fire brigades or police stations may be another useful source of information. However, generally only those landslides are reported that have caused major damage. Interviews with local population and farmers using community-based mapping approaches have also been applied for obtaining landslide information. Although these methods may provide a good insight in the location of past landslides, they generally do not result in reliable temporal information, especially for landslides that have happened many years ago. Field mapping of landslide is obviously very important, and could be supported by a wide range of dating methods in order to get a better idea on the occurrence of large pre-historic landslides (Lang et al., 1999; Glade, 2001). The most applicable techniques for larger study areas however make use of remote sensing images and range from qualitative image interpretation, to change detection methods of spectral or altitude information.

### **3.1 Visual interpretation**

For visual interpretation of landslides, stereoscopic imagery with a high to very high resolution are required (Mantovani et al., 1996; Metternicht et al., 2005). Optical images with resolutions larger than 3 meters (e.g. SPOT, LANDSAT, ASTER, IRS-1D), as well as SAR images (RADARSAT, ERS, JERS, ENVISAT) have proven to be useful for visual interpretation of large landslides in individual cases (Singhroy, 2005), but not for landslide mapping on the basis of landform analysis over large areas.

Very high resolution imagery (QuickBird, IKONOS, CARTOSAT-1, CARTOSAT-2) has become the best option now for landslide mapping from satellite images (IGOS, 2003), and the number of operational sensors with similar characteristics is growing year by year, as more countries are launching earth observation satellites with stereo capabilities and resolution of 3 meters or better. The high costs may still be a limitation for obtaining these very high resolution images for particular study areas, especially for multiple dates after the occurrence of main triggering events such as tropical storms or cyclones. Figure 2 gives an example of the use of different types of imagery for landslide mapping in Tegucigalpa, Honduras.

Another interesting development is the visual interpretation of landslide phenomena from shaded relief images produced from LiDAR DEMs, from which the objects on the earth surface have been removed; so called bare earth DEMs (Haugerud *et al.* 2003; Schulz, 2004). The use of shaded relief images of LiDAR DEMs also allows a much more detailed interpretation of the landslide mechanism as the deformation features within the large landslide are visible, and landslide can be mapped in heavily forested areas (Haneberg, 2004). Figure 2H gives an example of the use of LiDAR for landslide mapping in Tegucigalpa, Honduras (Gutierrez et al., 2001).

However, in practice, aerial photo interpretation still remains the most used technique for landslide mapping (Tribe and Leir, 2004; Metternicht *et al.*, 2005). Cardinali et al. 2002 present a clear example on the use of multi-temporal airphoto interpretation for the generation of a landslide database that can be used in landslide hazard and risk assessment. An analysis of the Magnitude – Frequency relationship based on landslide interpretations from multi-temporal airphotos has been carried out by Reid and Page (2002).

The conversion from conventional landslide inventory interpretations from stereoscopic aerial photographs to a GIS was rather time consuming. Nowadays the interpretation of stereo images can be done digitally, using two scanned stereo images, or one image combined with a DEM to produce an artificial stereo image. Several techniques can be used to visualize the digital stereo images, such as anaglyph, chromadepth, polarized light, or through the use of a screen stereoscope, which is mounted on the computer screen (Van Westen, 2004).

### **3.2 Automated landslide mapping**

Many developments have taken place in the last decade related to methods for the automatic detection of landslides based on their spectral or altitude characteristics. The automatic characterization of landslide areas can make use of a number of features (Van Westen and Soeters, 1996):

- Disrupted or absent vegetation cover, anomalous with the surrounding terrain has been used as the main diagnostic feature for the recognition of landslides from multi-spectral images.
- Slope characteristics, related to the overall slope changes, and the presence of slope concavities and breaks of slope that might be recognizable from DEMs.
- Surface characteristics, such as internal deformation structures, fissures, tension cracks, flow lobes, step like morphology, scarps, and semi circular features are detectable as increased surface roughness, if the detail of the DEM is sufficiently large.
- Surface drainage characteristics, such as disrupted drainage, ponds, seepage zones, and exceptionally wet or dry zones might be detected using radar imagery or using thermal imagery.

Multi-spectral images such as SPOT, LANDSAT, ASTER and IRS-1D LISS3 have proven to be more applicable for landslide mapping based on image classification in conditions where landslides are fresh and unvegetated (Cheng *et al.*, 2004). If landslides are not recent, such imagery will not be sufficient, as proven by Petley *et al.* (2002) and Marcelino *et al.* (2003).

Interesting examples of the use of optical satellite data for landslide inventory mapping are presented by Roessner *et al.* (2005), Nichol and Wong (2005). Restrepo and Alvarez (2006) demonstrated that image classification of multi-spectral images for landslide studies can be successful for identifying a large number of unvegetated scarps that have been produced during a single triggering event. However, practice has shown that the use of optical satellite imagery for multi-temporal landslide detection after major triggering events, especially in tropical areas, is often hampered by the persistent cloud cover in the affected area, which makes it difficult to obtain cloud-free images for a long period of time.

Automatic classification of landslides using digital airphotos has also been applied successfully by Hervas *et al.* (2003). Whitworth *et al.* (2005) have demonstrated the use of a high-resolution Airborne Thermal Mapper (ATM) sensor with image processing for semi-automated landslide identification. Airborne hyperspectral imagery has been used as well in landslide mapping (Bianchi *et al.*, 1999). Image classification methods used for landslide mapping can be differentiated in pixel based and non-pixel based ones. Currently, non-pixel based approaches using object oriented image segmentation seem to provide a better accuracy than pixel-based methods (Barlow *et al.*, 2003; Martin and Franklin, 2005).

Many methods for landslide mapping make use of digital elevation models of the same area from two different periods. The subtraction of the DEMs allows visualizing where displacement due to landslides has taken place, and the quantification of displacement volumes (Oka, 1998; van Westen and Getahun, 2003; Dewitte and Demoulin, 2005). Satellite derived DEMs from SRTM, ASTER and SPOT do not provide sufficient accuracy to differentiate actual landslide movement from noise, when overlaying two DEMs from different dates (Hirano *et al.* 2003). High resolution data from Quickbird, IKONOS, PRISM (ALOS) and CARTOSAT-1 are able to produce highly accurate digital elevation models that might be useful in automatic detection of large and moderately large landslides.

Light Detection and Ranging (LiDAR) or laser scanning can provide high resolution topographic information (<1 m horizontal and a few cm vertical accuracy), depending on the flying height, point spacing and type of terrain, and may be as low as 100 cm in difficult terrain (Haneberg, 2004; McKean and Roering, 2004; Glenn *et al.*, 2006). Also

the combination of an Airborne Laser Scanner (ALS) and Terrestrial Laser Scanner (TLS) for the quantification of landslide volumes has been proven successfully (Hsiao et al., 2004). Terrestrial LiDAR measurements have also been successfully applied for the monitoring of individual landslide by Rowlands et al. (2003) and Jones, (2006), whereas also systems exist that can be mounted on a helicopter (Vallet and Skaloud, 2004).

Interferometric Synthetic Aperture Radar (InSAR) has been used extensively for measuring surface displacements. Unfortunately, in most environments InSAR applications are limited by problems related to geometric noise due to the different look angles of the two satellite passes and temporal de-correlation of the signal due to scattering characteristics of vegetation, as well as by atmospheric variability in space and time (Catani et al., 2005). To overcome these problems, the technique of Persistent Scatterer Interferometry (PSI), or Permanent Scatterers was introduced (Ferretti *et al.*, 2001) that uses a large number of radar images and works as a time series analysis for a number of fixed points in the terrain with stable phase behavior over time, such as rocks or buildings. The availability of ERS-1 and 2 , RADARSAT, together with the recent ENVISAT and ALOS PALSAR now offer many more opportunities for obtaining a large time series spanning 4 to 10 years (with 30-100 images). These techniques are only possible if the landslide displacement is not too much (in the order of centimeters), and therefore cannot be applied for mapping new landslides with a large displacement. Ground-based interferometry, using a ground-based radar system forming the synthetic aperture by the sliding of the antennas on a linear rail, has also been successfully applied to detect landslide movements (Tarchi et al., 2003), but this is more applicable for landslide monitoring than for landslide inventory mapping.

**Figure 2:** *Somewhere here*

### **3.3 Generation of landslide databases**

The techniques described above are intended to support the generation of landslide databases. Such databases may have a very large degree of uncertainty, which can be related to the incompleteness of historical information (with respect to the exact location, time of occurrence, and type of movement), or to the experience and dedication of the

persons carrying out the image interpretation and field mapping (Soeters and Van Westen, 1996). The difficulties involved in obtaining a complete landslide database, and its implications for landslide hazard assessment are illustrated in Figure 3. The graph indicates a hypothetical landslide frequency in the period 1960 - 2006, and the main triggering events (either earthquakes or rainfall events) with the return period indicated. For the area, five different sets of imagery area available (indicated in Figure 3 with A to E). In order to be able to capture those landslides related with a particular triggering event, it is important to be able to map these as soon as possible after the event occurred. For example the imagery of C and E can be used to map the landslides triggered by rainfall events with different return periods. The imagery of B and D however, are taken either some time after the triggering event has occurred, so that landslide scarps will be covered by vegetation and are difficult to interpret, or they occur after a sequence of different triggering mechanism, which would make it difficult to separate the landslide distributions.

*Figure 3: Somewhere here*

This illustrates the importance of obtaining imagery as soon as possible after the occurrence of a major triggering event, so that accurate event-based landslide maps can be made, which in turn will make it possible to derive landslide probability maps. Such event-based landslide inventory maps should be stored in a landslide database implemented in GIS.

Much progress has been made in the development of landslide inventories at regional or national level. One of the first comprehensive projects for landslide and flood inventory mapping has been the AVI project in Italy (Guzzetti et al., 1994). Many countries are developing landslide databases through map servers on the Internet, for example in Hong Kong (CEDD, 2007), Canada (Grignon et al., 2004), Australia (Geoscience Australia, 2006), Japan (NIED, 2006), Norway (Norges geologiske undersøkelse, NGU, 2006), Italy (CNR-IRPI 2006), Nicaragua (INETER, 2006) and New Zealand (Glade and Crozier, 1996).

There are good examples in the literature of the use of landslide inventories for hazard assessment (Guzzetti *et al.*, 1994; Guzzetti, 2000; Chau *et al.*, 2004; Guzzetti and Tonelli, 2004). However, the existing landslide databases often present several drawbacks (Guzzetti, 2000; Ardizzone *et al.*, 2002; Guzzetti and Tonelli, 2004) related to the completeness in space and even more so in time, and the fact that they are biased to landslides that have affected infrastructures such as roads.

### **3.4 Landslide inventory for Tegucigalpa**

To illustrate some of the aspects discussed above in relation to landslide inventory mapping and the generation of landslide databases, an example is given in Figure 2 of a large landslide, named El Berrinche, in the centre of the city of Tegucigalpa, Honduras. This landslide occurred in late October 1998, as a result of heavy rainfall and undercutting of the toe by the Choluteca River, during the passing of hurricane Mitch (Peñalba *et al.*, 2007). Tegucigalpa is located in a bowl shaped valley of the Choluteca River, underlain in the SE by Cretaceous Rio Chiquito formation, consisting of red sandstone, siltstone and some conglomerates, and Tertiary volcanic deposits in the northwestern part (Rogers and O'Conner, 1993). The highest parts of the area are plateaus underlain by ignimbrites with steep cliffs around their edges and a complex series of old landslides, which have not been dated till now. One of these is the El Berrinche landslide (see figure 2), which is approximately 700 meter long and 400 meter wide. The landslide has had several phases of activity over the last decades, which culminated in the massive failure on October 31 1998 (Peñalba *et al.*, 2007). The movement history can be reconstructed with the help of image interpretation, utilizing aerial photographs, satellite images and LiDAR data from different periods. As can be seen in Figure 2A, which is an airphoto from 1974, the landslide can be clearly recognized, and a reactivation which occurred in the toe of the landslide in 1970 is evident. During this period also the houses of the Colonia Soto were already constructed on the landslide, and the road construction in the higher parts suggests that further development was planned, which was never implemented, due to the landslide movements. A second reactivation took place in 1984, which produced considerable

damage to roads and houses in the area (See Figure 2B). The first signs of what later would form into an earthflow can be identified on the aerial photo from 1990, as well as the depressions in the upper part of the landslide. After a geotechnical investigation the area was declared unsafe and further development was not considered appropriate. The main movement occurred in October 1998, and the aerial photo taken just after this (Figure 2C) clearly shows the different components of the landslide consisting of a rotational block in the upper part, an earthflow in the center and a compressional toe (Olsen and Villanueva, 2007). The landslide had a volume of 6 million cubic meters, and most of houses of the Colonia Soto were ruined as well as parts of the adjacent neighbourhoods. The landslide dammed the Choluteca River leading to extensive flooding in the center of Tegucigalpa for a number of weeks. After the event the slope was flattened and a series of benches were constructed along the toe (See Figure 2D). Also a drainage diversion channel was constructed in a SW-NE direction. Figures 2 E,F and G show satellite imagery for the same area from subsequent years (Aster image from 2005, IRS-P6 image from 2006 and Digital Globe image from Google Earth taken in 2007) on which no major changes can be identified. The figure also shows that only very high resolution imagery, with spatial resolution of 1 meter or higher allows proper interpretation of landslide phenomena. It should be noted here also that the use of stereo-images is essential, as many of the diagnostic features related to morphology can only be interpreted in three-dimensions. Finally, Figure 2H illustrates the applicability of LiDAR shaded relief maps for the interpretation of the landslide components (Gutierrez et al., 2001; Harp et al., 2002a).

The landslides caused by Hurricane Mitch were mapped by several teams (Harp et al., 2002a; JICA, 2002). Figure 4 gives three landslide inventory maps for the area around the centre of Tegucigalpa, taken from three independent sources. Map A was made using field investigation directly after the occurrence of Mitch. Map B was made a few years later, based on field investigations and aerial photo interpretation, using photographs taken directly after the event. Map C was made 6 years later, based only on aerial photo-interpretation, using different sets of aerial photographs, from 1975, 1990 and from 2000. Figure 4D and E show the overlap of the three landslide inventory maps, while Table 3 shows the specific combinations. There is a considerable variation among the three

landslide maps, both in terms of the location of the events, as well as their classification. Of all the landslides mapped by the three groups only 10 percent was mapped similarly. These were also the active landslides that were produced directly after hurricane Mitch. However, of the active landslides identified by one of the three sources, only 33 percent was mapped similarly by all, and 50 percent was mapped by only one of the three sources. As Map A didn't consider dormant and stable landslides, it is difficult to compare these types for the three maps. However, the largest differences are caused by the mapping of old landslides, which are now considered to be stable. Map B and Map C differ quite substantially in their interpretation of these older events. The mapping of these older events is very important, as it helps to identify reactivation hazard, clearly demonstrated by the El Berrinche landslide.

The large differences between the landslide inventory maps illustrate the high degree of uncertainty of this very important input data layer for landslide susceptibility, hazard and risk assessment. The difference in landslide patterns will have a very large effect on the subsequent landslide susceptibility mapping, especially when statistical methods are used. It is therefore important to both map event-based landslide inventory maps, as well as map older landslides, and include a proper interpretation of the landslide types, failure mechanisms and (relative) dates.

**Figure 4:** *Somewhere here*

**Table 3:** *Somewhere here*

#### **4. Environmental factors**

As indicated in Figure 1, the next block of spatial information required for landslide susceptibility, hazard and risk assessment consists of the spatial representation of the factors that are considered relevant for the prediction of the occurrence of future landslides. These so-called environmental factors can be divided in several subgroups: those derived from digital elevation models, geological factors, soil related factors, hydrological factors, geomorphological factors and land use related factors (see Table 1). Table 4 provides more details on the relevance of these factors for heuristic, statistical

and deterministic analysis. It is clear from this table that the three types of analysis use different types of data, although they share also common ones, such as slope gradient, soil and rock types, and land use types. The selection of the environmental factors that are used in the susceptibility assessment is depending on the type of landslide, the type of terrain and the availability of existing data and resources. A good understanding of the different failure mechanisms is essential. Often different combinations of environmental factors should be used, resulting in separate landslide susceptibility maps for each failure mechanism. Below, some of the environmental factors are discussed in more detail.

**Table 4:** *Somewhere here.*

#### **4.1 Digital Elevation Data**

As topography is one of the major factors in landslide hazard analysis, the generation of a digital representation of the surface elevation, called Digital Elevation Model (DEM), plays a major role. Digital Elevation Models (DEMs) can be derived through a large variety of techniques, such as digitizing contours from existing topographic maps, topographic leveling, EDM (Electronic Distance Measurement), differential GPS measurements, (digital) photogrammetry, InSAR, and LiDAR. Traditionally the most used method for the generation of DEMs as input maps in landslide hazard assessment was the digitizing of contourlines from topographic maps, and the subsequent interpolation into either raster or vector (Triangular Irregular Networks) DEMs. The accuracy of the resulting DEM depends on the scale of the input map, the contour interval, the availability of additional spotheight information, the precision of digitizing, and the interpolation method used. For detailed measurement of small areas Differential Global Positioning Systems (DGPS) utilize correction signals sent to a single GPS receiver to achieve submeter horizontal accuracy and vertical accuracy in the one to three meter range. During the last 15 years there have been important changes both in terms of data availability, as well as in terms of software that can be used on normal desktop computers, without extensive skills in photogrammetry. Nowadays, Digital Photogrammetry can be used on desktop computers on a variety of images, ranging from metric air photographs

taken on official surveys from National Mapping Agencies, to small format photography taken from helicopters, light aircraft and drones (Henry *et al.* 2002).

Global DEMs are available with a horizontal grid spacing ranging from 30 arc seconds (approximately 1 kilometer), such as GLOBE or GTOPO30 (Hastings and Dunbar, 1998), to 5-arc-minute spatial resolution (e.g. ETOPO5, TerrainBase and JGP95E), or larger (e.g. ETOPO2). In terms of satellite derived DEMs, the NASA Shuttle Radar Topography Mission (SRTM) has gathered topographic data for about 80% of the Earth's land surface, in the area between 60 degrees latitude (Rabus *et al.*, 2003). The released SRTM DEMs for the United States are at 30-meter resolution, and those for the rest of the world at 90 meters. SRTM data often has a problem with missing data, and the vertical error can be up to 15 meters in mountainous areas (Far *et al.*, 2007).

These days a wide range of data sources can be selected for the generation of DEMs (see Table 5). The selection depends on the data availability for a specific area, the price and the application. Optical images with 5-15 meter spatial resolution (e.g. IRS-1C and 1D, SPOT-5/HRS, SPOT-2-4/HRV, ASTER) in particular are suitable for medium scale mapping, and some are also relatively low priced. As mentioned before, ASTER scenes are particularly affordable (< 55 USD per scene of 60 by 60 km) and produce DEMs with spatial resolution of 15 meters and vertical accuracy of 20 meters (Fujisada *et al.*, 2005). The application of DEMs from very high resolution images (Quickbird or IKONOS) in landslide studies is hampered by the high acquisition costs (30-50 USD/km<sup>2</sup>). The recently launched high resolution data from PRISM (ALOS) and CARTOSAT-1, both with 2.5 m resolution, and two panchromatic cameras that allow for near simultaneous imaging of the same area from two different angles (along track stereo) are able to produce highly accurate Digital Elevation Models, at expected lower costs than 10 USD/km<sup>2</sup>. Although Radar Interferometry is used for landslide change detection, it is not used extensively for DEM generation as a factor map in landslide studies, mostly because of problems with vegetation.

Light Detection And Ranging (LiDAR) is a relatively new technological tool, which is very useful for terrain mapping. Normally LiDAR point measurements will render so-called Digital Surface Models (DSM), which contains information on all objects of the Earth's surface, including buildings, trees etc. (Ackermann, 1999). Through sophisticated

algorithms, and final manual editing, the landscape elements are removed and a Digital Terrain Model is generated. The difference between a DSM and the DTM can also provide very useful information, e.g. on elements at risk (buildings etc. see later section) or the forest canopy height. LiDAR has become the standard method for the generation of DEMs in many developed countries already and it is likely that most countries will be having LiDAR derived DEMs within a decade or so. The average costs of LiDAR ranges from 300 – 800 USD/km<sup>2</sup> depending on the required point density.

**Table 5:** *Somewhere here.*

Many derivative maps can be produced from DEMs using fairly simple GIS operations (Moore et al., 2001). This might also be the reason why so many landslide hazard studies include derivative maps such as slope aspect in the landslide hazard analysis, even though the exact relation between slope aspect and landslide occurrence is not always clear. Derivatives from DEMs can be used in heuristic analysis at small scales (hillshading images for display as backdrop image, physiographic classification, internal relief, drainage density), in statistical analysis at medium scales (e.g. altitude zones, slope gradient, slope direction, contributing area, plan curvature, profile curvature, slope length), in deterministic modeling at large scales (local drain direction, flow path, slope gradient) and in landslide run out modeling (detailed slope morphology, flow path, rock fall movement) (e.g. Corominas et al., 1992). An example of DEM derivatives obtained from an SRTM DEM for the watershed area of the Choluteca River in Honduras is presented in Figure 5.

**Figure 5:** *Somewhere here*

Although there are many DEM derived maps that can be produced not all of them are suitable for landslide susceptibility assessment and also not at all scales. Zhou and Liu (2004) present a detailed investigation of the accuracy of slope and aspect maps derived from DEMs with different resolutions. Scale limitation of models due to DEM resolution has been studied for other types of model like soil erosion but little research has been

carried out on this issue for landslide hazard and risk assessment models (Dietrich and Montgomery, 1998). Claessens et al. (2005) conclude that the variable gridsizes of raster DEMs used in deterministic slope stability assessment have a large effect on the distribution of areas modeled as unstable. Also the use of slope gradient maps in statistical landslide hazard assessment is greatly affected by differences in the resolution of the DEM and the derived slope maps. As a general rule of thumb the use of slope gradient maps is not advisable for small scale studies, whereas in medium scale studies slope maps, and other DEM derivatives such as aspect, slope length, slope shape etc. can be used as input factors for heuristic or statistical analysis. In large and detailed scale hazard assessment, DEMs is used in slope hydrology modeling and slope maps are used for deterministic slope stability modeling (see Table 4). On the other hand, also the use of high accurate LiDAR DEMs poses some problems. The high spatial resolution of a LiDAR data set often doesn't match with the detail of the other environmental factors, and the very local variations in slope angle depicted in the LiDAR DEM might not be representative of the more general slope conditions under which landslides might occur.

#### **4. 2 Example of the use of DEMs in Tegucigalpa**

To illustrate some of the points indicated above on the use of Digital Elevation Models, Figures 5 and 6 show the use of different DEMs for the case study area in Honduras. Figure 5 shows a series of derivative maps generated from the SRTM DEM with 90 meter spatial resolution. After obtaining the raw data, several processing steps had to be applied in order to correct for the missing data values and to remove so-called "sinks", which are closed depression in the DEM due to artifacts. The resulting DEM derivatives were successfully used in a regional landslide susceptibility assessment using statistical analysis, together with other environmental factors, as mentioned in Table 4. They were also utilized for generation a susceptibility map, using a dynamic soil water model combined with an infinite slope model to produce a factor of safety map. A similar map was produced using a static model by Harp et al. (2002b), based on a LiDAR DEM.

The LiDAR DEM of the Tegucigalpa area was obtained from the USGS. It was collected by the University of Texas using an ALTM 1225 in March 2000, at an altitude

of 800-1200 resulting in a spacing of 2.6 meters between scan lines (Gutierrez et al., 2001). A TopScan vegetation removal filter was applied and the data was interpolated into a 1.5 meter resolution DEM. The LiDAR DEM was used together with the SRTM DEM (90 meter spatial resolution) and with two other DEMs from contour maps. The first contour maps had a scale of 1:2000, 2.5 meters contour lines and the resulting DEM was made at 1 meter spatial resolution. The second contour map was at scale 1:50000 with 20 meter contour lines interpolated in a DEM with 30 meter pixelsize. The four DEMs were used to produce slope angle maps, using horizontal and vertical gradient filters. The resulting slope maps were classified into classes of 10 degrees, and overlain with the landslide inventory map of the Mitch landslides (Map A in Figure 4). Figure 6 shows the 4 slope class maps with the corresponding histograms. The slope class maps derived from SRTM and 1:50000 scale topomaps contain more flat areas as compared to the DEMs from 1:2000 topomaps and LiDAR. The landslide – slope class relationship is also substantially different. There is a large difference between the LiDAR DEM and the DEM from the detailed topomap, due to the inclusion of buildings in this DEM. From the figure it can be concluded that the resolution and accuracy of the DEM has a very large influence on the relation between slope classes and landslides.

**Figure 6:** *Somewhere here*

### **4.3 Geological and soil data**

Besides topographic information the second most important type of environmental data for landslide hazard assessment is related to the materials in which landslides might originate. Traditionally, geological maps form a standard component in heuristic and statistical landslide hazard assessment methods. Mostly existing geological maps are digitized and used as input factor in the analysis, often using the original stratigraphic classification of rock types, which might not reflect the susceptibility to landsliding accurately (Carrara et al., 1999). Sometimes the stratigraphic legend is converted into an engineering geological classification, which gives more information on the rock composition and rock mass strength. The quality of the reclassification of the geological

formations into lithological classes depends on the number of rocktypes that are include in a formation, as formations with very mixed composition are very difficult to convert.

In medium and large scale analysis the subdivision of geological formations into meaningful mapping units of individual rock types often poses a problem, as the intercalations of these units cannot be properly mapped at these scales. In detailed hazard studies specific engineering geological maps are collected and rock types are characterized using field tests and laboratory measurements. For detailed analysis also 3-D geological maps have been used, although the amount of outcrop and borehole information collected will make it difficult to use this method on a scale smaller than 1:5000, and its use is restricted mostly to a site investigation level (e.g. Xie et al., 2003).

Apart from lithological information also structural information is very important for landslide hazard assessment, as the orientation of the discontinuities in the (weathered) rock in relation with the slope angle and direction are of large influence in the susceptibility to landslides. At medium and large scale attempts have been made to generate maps indicating dip direction and dip amount, based on field measurements, but the success of this depends very strongly on the amount of measurements and the complexity of the geological structure. Another option is to map the relation between slope gradient/slope direction and bedding slope/direction for individual slope facets (Atkinson and Massari, 1998; Lee et al., 2002). Fault information is also used frequently as one of the environmental factors in a statistical landslide hazard assessment. The use of wide buffer zones around faults, which is now the standard practice should be treated with caution, as this might be only true for active faults. In other cases a very narrow buffer zone should be taken, which is related to the zone where rocks are fractured.

In terms of soil information required for landslide hazard assessment, there are basically two different thematic data layers needed: soil types, with associated geotechnical and hydrological properties, and soil sequences, with depth information. These data layers are essential components for any deterministic modeling approach. Soil type maps consist of two types: pedologic soil maps and engineering soil maps. Pedologic soil maps, normally only classify the soils based on the upper soil horizons, with rather complicated legends and are therefore less relevant in case of landslide deeper than 1-2 meters. Engineering soil maps describe all loose materials on top of the bedrock,

and classify them according to the geotechnical characteristics. They are based on outcrops, borehole information and geophysical studies. Especially the soil depth is very difficult to map over large areas, as it may vary locally quite significantly. Soil thickness can be modeled using a correlation with topographic factors such as slope (e.g. Salciarini, 2006), or predicted from a process based model (Casadei et al., 2003). Given the fact that soil thickness is one of the most crucial factors in deterministic slope stability modeling, it is surprising that very limited work has been done on the modeling of soil thicknesses over larger areas (Terlien et al., 1995; Dietrich et al., 1995).

Geological and soil data collection can be performed more efficiently with the use of mobile GIS. Several methods for digital field data collection have been developed, such as FieldLog (Brodaric, 1997; 2000), PenMap (Kramer, 2000) and the generic systems such as ArcPad from ESRI, which is the most convenient one when working with ArcGIS.

#### **4.4 Geomorphological data**

As landslides are important landscape processes, geomorphology, the study of surface landforms, processes and material distributions, is traditionally considered an important component of a landslide hazard assessment. Geomorphological maps are made at various scales to show land units based on their shape, material, processes and genesis (e.g. Klimaszewski, 1982; De Graaff et al., 1987). There is no generally accepted legend for geomorphological maps, and there may be a large variation in contents based on the experience of the geomorphologist. These very detailed maps contain a wealth of information, but require extensive field mapping, and are very difficult to convert into digital format (Gustavsson et al., 2006). Unfortunately, the traditional geomorphological mapping seems to have nearly disappeared with the developments of digital techniques, and very few publications on landslide hazard and risk still include it (Castellanos and Van Westen, 2007), or replace it by merely morphometric information.

An important new field within geomorphology is the quantitative analysis of terrain forms from DEMs, called geomorphometry or digital terrain analysis, which combines elements from earth sciences, engineering, mathematics, statistics and computer science. (Rowbotham & Dudycha 1998; Wilson & Gallant 2000; Pike, 2000). Part of the work

focuses on the automatic classification of geomorphological land units based on morphometric characteristics at small scales (Giles and Franklin, 1998; Miliareisis, 2001) or on the extraction of slope facets at medium scales which can be used as the basic mapping units in statistical analysis (Carrara et al., 1995). For example Asselen and Seijmonsbergen (2006) present a semi-automated method to recognize and spatially delineate geomorphological units in mountainous forested areas in Vorarlberg (Austria), using statistical information extracted from a 1-m resolution LiDAR dataset.

In most of the statistical methods the analysis is carried out for a number of basic mapping units, that can be either grid cells, slope facets that are derived from DEMs (Rowbotham and Dudycha, 1998) or unique conditions units which are made by overlaying a number of landslide preparatory factors, such as lithology, land cover, slope gradient, slope curvature and upslope contributing area (Carrara et al., 1995).

#### **4.5 Landuse data**

Landsliding is a complex process that can be strongly influenced by landuse/landcover. Landuse is too often considered as a static factor in landslide hazard studies, and few researches involve constantly changing land use as a factor in the analysis (Van Beek and Van Asch, 2004). Changes in land cover and land use resulting from human activities, such as deforestation, forest logging, road construction, fire and cultivation on steep slopes can have an important impact on landslide activity (Glade 2003a; Cannon, 2000). Much work has been done to evaluate the effect of logging and deforestation on landslides (e.g. Furbish and Rice, 1983; Ziemer et al., 1991).

Vegetation effects on slope stability may be broadly classified as either hydrological or mechanical in nature. The mechanical factors consist of reinforcement of soil by roots, surcharge, wind-loading and surface protection (Greenway, 1987). Substantial work has been done in quantifying root reinforcement and its effects on landsliding (eg., Tosi, 2007; Preston and Crozier, 1999) however, only few works were seen dealing with root reinforcement as a spatial parameter linked to landuse/landcover in the assessment of landslide initiation (eg., Sekhar, et al., 2006a; Wu and Sidle, 1995). Root reinforcement is highly variable with respect to the age of the tree and the season. In general researchers adopt two different approaches towards quantifying root reinforcement they being by

measuring a) root shear strength and b) root tensile strength (Cofie and Koolen, 2001); the later being more widely accepted. It is also observed by authors that often roots do not break off in tension during the initiation of a landslide, but the soil is pulled out of the root holding and thus force necessary to pull out the roots is equally important. Other factors that are of significance in quantifying root reinforcement are root diameter (Ziemer, 1978), cellulose content (Genet et al., 2005) and root density (Schmidt et al., 2001). Very few works are available incorporating surcharge and wind loading as spatially continuous parameters.

The effects of vegetation cover on the hydrological processes of shallow landsliding can be subdivided into the loss of precipitation by interception, removal of soil moisture by evapotranspiration and the effects on hydraulic conductivity (Van Beek, 2002; Wilkinson et al., 2002). Use of remote sensing data for quantifying the hydrological properties of vegetation for landslide hazard assessment is not widely explored, though such methods are capable of providing spatially and temporally continuous parameters for a distributed dynamic assessment of landslides (Sekhar et al., 2006b). For a deterministic dynamic assessment it is very important to have temporal landuse/landcover maps and the respective changes manifested in the mechanical and hydrological effects of vegetation. It is observed that of all the vegetation effects, root reinforcement dominates in its contribution to stability. In order to be able to carry out a probabilistic analysis using different sets of landslide distribution from different periods, it is very important that land use maps from these same periods are available, or better land use change maps.

Land use maps are made on a routine basis from medium resolution satellite imagery such as LANDSAT, SPOT, ASTER, IRS1-D, etc. Although change detection techniques such as post-classification comparison, temporal image differencing, temporal image ratioing, or Bayesian probabilistic methods have been widely applied in land use applications, fairly limited work has been done on the inclusion of multi- temporal land use change maps in landslide hazard studies (Mantovani et al., 1996).

Landslide hazard and risk maps are generated for the future, and therefore the expected changes in land use should be taken into account in the analysis, through the modeling of different land use change scenarios. For the analysis of the transitional

probabilities of expected changes in the near future Markov Chain analysis has proven to be a useful tool (e.g. Balzter, 2000).

#### **4.6 Triggering factors**

Information related to triggering factors generally has more temporal than spatial importance, except when dealing with large areas on a small mapping scale. This type of data is related to rainfall, temperature and earthquake records over sufficiently large time periods, and the assessment of magnitude-frequency relations. Rainfall and temperature data are measured in individual meteorological stations, and earthquake data is normally available as earthquake catalogs. The spatial variation over the study area can be represented by interpolating the point data, provided that enough measurement data is available. For example a map of the maximum expected rainfall in 24 hours for different return periods can be generated as the input in dynamic slope stability modeling. Or in the case of earthquake triggered landslides a map of the peak ground acceleration (PGA) with a 10 percent exceedance probability in 50 years could be used as input in subsequent infinite slope modeling. Such PGA maps are available for most of the seismically affected regions through the Global Seismic Hazard Assessment Project (Giardini et al., 1999)

For larger areas, if no data is available from meteorological stations, general rainfall estimates from satellite imagery can be used, such as from Tropical Rainfall Measuring Mission (TRMM) Multi-satellite Precipitation Analysis (TMPA), which is used to issue landslide warnings based on a threshold value derived from earlier published intensity-duration-frequency relationships for different countries (Hong et al., 2007). Hong and Adler (2007) propose an early warning system for global landslide warnings, based on the TRMM rainfall estimations, combined with the near-real time ground shaking prediction system for earthquakes (Wald et al., 2003) and with generalized landslide susceptibility information, including altitude information from SRTM, and landcover information, derived from MODIS.

However, in order to be able to link these triggering factors with landslide dates, an extensive landslide inventory database is required in which the landslides are dated, either individually, or through the generation of event-based landslide inventory maps.

## 5. Elements at risk information

Elements at risk refer to the population, buildings, civil engineering works, economic activities, public services, utilities and infrastructure, etc., that are at risk in a given area. Each of these has its own characteristics, which can be spatial (related to the hazard location), temporal (such as the population, which will differ in time at a certain location) and thematic (such as the material type of buildings, or the age distribution of the population).

Elements at risk inventories can be carried out at various levels, depending on the requirement of the study. Table 6 gives a more detailed description of the main points indicated earlier in Table 1. Buildings are considered as the first type of element at risk in most landslide risk studies. The inventory can be done on an individual building level on a detailed and large scale, on an aggregated level in the form of homogeneous units at a medium scale, or on entire settlements on a small scale. Roads are also often considered as elements at risk, and their vulnerability should be evaluated both for direct impact as well as for indirect damage due to landslide blocking. Existing elements at risk databases are seldom complete (Montoya, 2003). In an increasing number of cases, however, some form of basic digital topographic information will be available. Very often such topographic information will also contain a building footprint map, which can be considered as one of the main inputs for a proper landslide risk assessment (Kong, 2002).

### **Table 6:** *Somewhere here*

Often the basic units for risk analysis could be derived from existing cadastral databases, and population data may be derived from existing census data. Even if digital information is available, a considerable amount of work needs to be done in developing a GIS database for elements at risk mapping, which will include the characterization of the building types, mapping of temporal building occupancies, and collection of population information through field inquiries. A common problem found is that there is no link between non-spatial data (e.g. housing data) and spatial data (e.g. building footprints).

Also here the use of mobile GIS is essential (Montoya, 2003). If no digital data exist, the elements at risk can be digitized from high resolution images. Also intends have been made to automatically extract buildings from InSar (Stilla *et al.*, 2003), Lidar (Priestnall *et al.*, 2000) and IKONOS (Fraser *et al.*, 2002).

### **5.1 Elements at risk database of Tegucigalpa**

Figure 7 gives an illustration of the various levels of elements at risk data that were available for the city of Tegucigalpa. The basic information was available in the form of individual building footprints, which lacked any attribute information. This level was considered too detailed as data collection for each individual building was too expensive. On the other hand, most of the attribute information related to population was linked to a polygon map of the wards of the city (“colonias” in Spanish, see Figure 7C). The detail of these units was considered too low, as landslide hazard varies significantly within one ward, and the integration of hazard data with general ward data would lead to non-reliable results. Therefore so-called mapping units were introduced as an intermediate level of elements at risk. They are considered to be more or less homogeneous units with respect to buildings types, socio-economic level and urban land use (See Figure 7B). These mapping were generated through image interpretation using the very high resolution imagery, and their boundaries are mostly formed by streets. The attributes from the higher and the lower levels were then converted to this intermediate level. For instance, the number of buildings per mapping unit was measured by overlaying the building footprint map with the mapping unit map. The average height of the elements at risk was estimated using the difference between the LiDAR DEM and the surface DEM generated from the contourlines with 2.5 meters contour interval, in the location of the building footprints (See Figure 7D). Information of predominant urban land use was not available, and therefore had to be generated, based on detailed image interpretation (See Figure 7E). Population information was only available at ward level (Figure 7C), and the population values had to be distributed over the mapping units, based on the urban landuse, the height of the buildings and the footprint area, from which the total floor area per mapping unit and landuse class could be calculated. Population density was also

calculated for different temporal scenarios (e.g daytime / nighttime / commuting time) using the urban landuse as the main criteria. Figure 7 illustrates the need for regular updating of the element at risk database. The building footprint map (Figure 7A) still contains the buildings of the Colonia Soto and nearby neighbourhoods that were destroyed by the El Berrinche landslide and flooding during Hurricane Mitch.

**Figure 7:** *Somewhere here*

For the collection of vulnerability related attributes for the elements at risk it is necessary to take into account the socio-economic conditions, which may vary from country to country (Castellanos and van Westen, 2005). There are relatively few publications related to landslide vulnerability assessment (Leone *et al.*, 1996; Ragozin and Tikhvinsky, 2000; Barbat, 2003) and most of them are dealing with large scale studies or on a site-investigation scale (Glade, 2003b). The vulnerability indicators used are more representations of the amount of elements at risk per administrative unit (e.g. population density per census tract) than actual measures of potential impact of a landslide in a single element at risk like a building. This fact makes that most vulnerability assessments are spatially represented by administrative polygons as spatial units while hazard assessment can be carried out by natural polygons or by single pixels.

Generally, vulnerability can be divided in four different types, such as physical, social, economic and environmental (United Nations Development Programme, 2004a) which can be combined to derive a qualitative index. Many different vulnerability indicators are reported in literature (Coburn *et al.*, 1994; Leone *et al.*, 1996;; CEPAL and BID, 2000; Commission on Sustainable Development, 2002; Manoni *et al.*, 2002; Barbat, 2003; Glade, 2003b; United Nations Development Program, 2004a; United Nations Development Program, 2004b). Indicator examples for the four mentioned vulnerability types are:

- Physical vulnerability: number and state of houses, length and type of roads, type and length of railway lines, number of office buildings, industries and storage facilities, critical facilities (police, fire brigade, etc.), type and amount of

transportation facilities, amount of tourist facilities, type and length of lifelines, cultural heritage, etc.

- Social vulnerability: population density, age distribution, persons with disability, gender distribution, growth rate, age dependency relationship, educational facilities, medical facilities, amount of health personnel, hospital beds, sport facilities, cultural facilities, total and functional literacy, electricity/communication coverage, etc.
- Economic vulnerability: total economic production, total market circulation, type and amount of industrial production, economic investments, agricultural production, unemployment ratio, average income etc.
- Environmental vulnerability: type and amount of protected areas, land-use types and degree of change, type and amount of natural resources, type and area of forest, type and area of productive soils.

Many of these indicators are not only valuable for landslide events but also for evaluating the vulnerability to many other natural or human-induced disasters. Therefore, they can be part of a more comprehensive multi-hazard risk assessment or taken from existing risk assessment for other hazards. One principal requirement for this is the coordination with other organizations dealing with risk assessment in transferring the spatial information with the appropriate format, resolution, etc. Several indicators actually are used in different types of vulnerability. For example, an industrial facility can be physically damaged by a landslide, but also the economic value of the production can be heavily affected. In this case, the same spatial object can have two attributes to be considered for different vulnerability types.

Some of the indicators listed above are rarely used in landslide vulnerability assessment, which in comparison with other natural and man-made hazards, is still in its initial development stage (Glade et al., 2005). An example of the inclusion of landslide hazard in a qualitative multi-hazard vulnerability assessment for the city of Tegucigalpa is presented in Figure 8. The figure shows the criteria tree which is used in the SMCE (Spatial Multi-Criteria Evaluation) module of the ILWIS software, in order to derive a vulnerability index for the city. The criteria tree contains 4 subgoals, namely:

- Generic social vulnerability, with indicators related to age, income, ethnicity and social structure.
- Hazard specific social vulnerability, with indicators related to the number of people living in areas with high hazards, including landslides
- Hazard specific physical vulnerability, with indicators related to the number of buildings located in areas with high hazards
- Capacity, with indicators related to access to hospitals, and awareness.

Each indicator is represented by a certain attribute, which is linked to one of the spatial levels indicated in Figure 7. Each indicator is also standardized to a value between 0 and 1. The standardization method is shown in Figure 8, as well as the weights and the weighting method (e.g. pairwise comparison) . The spatial data for each indicator, and for the composite index maps are shown on the right hand side of the figure. The figure illustrates the need for collecting relevant data related to the various vulnerability indicators, and to link them to relevant spatial information.

**Figure 8:** *Somewhere here*

## 6. Conclusions

As can be seen from Table 1 landslide risk assessment can be carried out on different scales, using different methods for susceptibility and hazard assessment and can be qualitative or quantitative in nature. The optimal selection of the scale and method are strongly depending on the availability of spatial information. Each type of analysis requires a number of crucial data layers, without which the analysis is not possible, apart from a whole range of other data.

There are several pitfalls in this process that should be avoided. Some of these are mentioned below:

- Selection of a method that does not suit the available data and the scale of the analysis. For instance, selecting a physical modeling approach at small scales with insufficient geotechnical and soil depth data. This will either lead to large

simplifications in the resulting hazard and risk map, or to endless data collection. Another example of this is the selection of a statistical modeling approach in very homogenous areas, or in areas with very few landslides.

- Use of incomplete landslide inventories, either in temporal aspect, in the landslide classification, or in separating the erosional from the accumulative part. Although landslide inventories will never be complete, it is important to keep in mind that different landslide types are controlled by different combinations of environmental and triggering factors.
- Using the same type of data and method of analysis for entirely different landslide types and failure mechanisms. There are many examples from literature where all past landslide events have been used in a statistical analysis, leading to very general results. The inventory should be subdivided into several subsets, each related to a particular failure mechanism, and linked to a specific combination of causal factors. Also only those parts of the landslides should be used that represent the situation of the slopes that failed.
- Use of data with a scale or detail that is not appropriate for the hazard assessment method selected. For instance, using an SRTM DEM to calculate slope angles used in statistical hazard assessment.
- Selection of easily obtainable landslide causal factors, such as DEM derivatives from SRTM data on a medium or large scale, or the use of satellite derived NDVI values as a causal factor instead of generating a land cover map.
- Use factor maps that are not from the period of the landslide occurrence. For instance, in order to be able to correlate landslides with landuse/landcover changes, it is relevant to map the situation that existed when the landslide occurred, and not the situation that resulted after the landslide.
- On the other hand also the use of outdated factor maps for predicting landslides should be avoided. Although relationships between factors and landslides should be established for the period in which the landslides were formed, it is important to use up to date maps that represent the actual situation for predicting events in the near future.

Much of the landslide susceptibility and hazard work is based on the assumption that “the past is key to the future”, and that historical landslides and their causal relationships can be used to predict future ones. However, one could also follow the analogy of the investment market in stating that “results obtained in the past are not a guarantee for the future”. Conditions under which landslide happened in the past change, and the susceptibility, hazard and risk maps are made for the present situation. As soon as there are changes in the causal factors (e.g. a road with steep cuts is constructed in a slope which was considered as low hazard before) or changes in the elements at risk (e.g. city growth) the hazard and risk information needs to be adapted.

The spatial data for landslide risk, as indicated in Table 1, is coming from many different sources and disciplines. The more data sources involved the more complicated the study as every organization has its own rules on data production. This is particularly relevant in developing countries where most information is still in analog format or where the digital information is produced without consistent and interoperable standards. However, also in developing countries a number of the crucial datasets as listed in Table 1 can now be obtained with the help of low cost satellite information, e.g. through the use of SRTM, ASTER and even Google Earth, as large parts of the world are now covered by very high resolution images. Nevertheless there is always a trade-off between the quality of the data and the cost/resources involved and the reliability of the hazard/risk assessment. In order to achieve the best quality/cost relation, it is very important to invest in landslide inventory databases. Landslide inventory databases are very important for generating reliable prediction maps of spatial and temporal probability for landslides. Multi-temporal landslide information is essential to new approaches for the generation of quantitative landslide probability maps (e.g., Coe et al., 2004 ; Chung and Fabbri, 2005 and Guzzetti *et al.*, 2005). New developments in digital data collection have facilitated the collection of landslide information; especially the wider availability of high-resolution satellite imagery with stereo capabilities that are finally a good substitute for aerial photographs. Emphasis should be given to the generation of event-based landslide inventory maps that are related to particular triggering events.

A relation between triggering events (rainfall or earthquakes) and landslide occurrences is needed in order to be able to assess the temporal probability. Temporal

probability assessment of landslides is either done using rainfall threshold estimation, through the use of multi-temporal data sets in statistical modeling, or through dynamic modeling. Rainfall threshold estimation is mostly done using antecedent rainfall analysis, for which the availability of a sufficient number of landslide occurrence dates is essential. If distribution maps are available of landslides that have been generated during the same triggering event, a useful approach is to derive susceptibility maps using statistical or heuristic methods, and link the resulting classes to the temporal probability of the triggering events. The most optimal method for estimating both temporal and spatial probability is dynamic modeling, where changes in hydrological conditions are modeled using daily (or larger) time steps based on rainfall data. However, more emphasis should be given to the collection of reliable input maps, focusing on soil types and soil thickness. The methods for hazard analysis should be carried out for different landslide types and volumes, as these are required for the estimated damage potential. Landslide hazard is both related to landslide initiation, as well as to landslide deposition, and therefore also landslide run-out analysis should be included on a routine basis.

A good understanding and quantification of the different hazard aspects (temporal and spatial probability of initiation, magnitude-frequency relation and run-out potential) is essential in order to be able to make further advancements in landslide vulnerability assessment. Also more emphasis could be given to the collection of historic landslide damage information for different elements at risk, and relate these to the characteristics of the landslides that caused the damage (e.g. volume, speed, run-out length) in order to be able to derive basic fragility curves.

Eventually, it is the spatial data availability that is the limiting factor in landslide hazard and risk assessment.

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**Figure 1:** Schematic representation of the landslide risk assessment procedure. A: Basic data sets required, both of static, as well as dynamic (indicated with “time...”) nature, B: Susceptibility and hazard modeling component, C: Vulnerability assessment component, D: Risk assessment component, E: Total risk calculation in the form of a risk curve. See text for further explanation

**Figure 2:** Examples of different types of optical remote sensing images for El Berrinche landslide in Tegucigalpa, Honduras. A: Section of an Aerial-photo, scale 1:14,000 from 16-March-1975, B: Section of an aerial-photo, scale 1:20,000 from 9-February-1990, C: Section of an aerial-photo, scale 1:25,000 from 1998, taken after hurricane Mitch, D: Section of an orthophoto, generated from 1:10,000 photos from May 2001, E: Section of a Aster image, with a spatial resolution of 15 meters from 2005; F: Section of a IRS P6 image, with a spatial resolution of 5.6 meters from 14-April 2006; G: Section of a Digital Globe image from Google Earth, from 2007; H: Shaded relief image from a LiDAR DEM with 1.5 meter spatial resolution..

**Figure 3:** Schematic presentation of landslide frequency in relation to triggering events and dates of imagery. On top of the graph the rainfall events (in black) and earthquakes (in gray) are indicated as arrows, with an indication of their return periods. The black arrows below the graph (A to E) refer to dates of available remote sensing imagery for landslide inventory mapping.

**Figure 4:** Three landslide inventory maps generated after hurricane Mitch. A: Inventory map of the first mapping source, in which only the landslide caused by Mitch have been mapped, B: Landslide inventory map generated by the second source, in which also a number of older landslides have been recognized, C: Landslide inventory of source three, in which image interpretation of old airphotos revealed the occurrence of many paleo-landslides, D: Combination of the three inventory maps, E: Histogram of the combined map D.

**Figure 5:** Examples of derivative maps from a SRTM DEM of the watershed of the Choluteca River, near Tegucigalpa. A: Altitude, B: Shaded relief image, C: Slope angle (in degrees), D: Slope direction (in degrees), E: Flow accumulation, F: Automatic drainage and catchment delineation, G: Drainage direction, H: Landsat TM image showing the location of Tegucigalpa, and the watershed boundary..

**Figure 6:** Effect of the use of different DEMs on the relation between slope angle and landslide distribution. The left side of the figure shows the slope angle maps (in degrees) generated from: A. SRTM data; with 90 meter spatial resolution, B. 1:50,000 topomaps with 20 meter contour interval, resulting in a DEM with 30 meters horizontal resolution, C. 1:2,000 topomaps with 2.5 meter contour interval, resulting in a DEM with 1 meter spatial resolution, D. a LiDAR image, from which the vegetation has been removed, with 1.5 meter spatial resolution. The right side of the figure shows the percentage of area per slope class (bar charts), and the percentage of all landslides per slope class (thick lines).

**Figure 7:** Different types of information that are important for the generation of an elements at risk database in Tegucigalpa. A: Individual building footprints, B: Mapping units, representing zones of more or less homogeneous urban landuse and building types, C: Wards (locally called Colonies), D: Building height, in number of stories, E: Landuse classification of the mapping units.

**Figure 8:** Example of the use of indicator maps for generating a multi-hazard vulnerability map using spatial multi-criteria evaluation. The criteria tree contains 4 subgoals (marked 1 to 4) which are consisting of several indicators. For each indicator the standardization method is shown, as well as the weights. The spatial data for each indicator, and for the intermediate and final maps are shown on the right hand side.

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**Table 1:** Schematic representation of basic data sets for landslide susceptibility, hazard and risk assessment. **Left:** indication of the main types of data, **Middle:** indication of the ideal update frequency, **RS:** column indicating the usefulness of Remote Sensing for the acquisition of the data, **Scale:** indication of the importance of the data layer at small, medium, large and detailed scales, related with the feasibility of obtaining the data at that particular scale, **Hazard models:** indication of the importance of the data set for heuristic models, statistical models, deterministic models, and probabilistic models, **Risk models:** indication of the importance of the data layer for qualitative and quantitative risk analysis. (C= Critical dataset, H= highly important, M= moderately important, and L= Less important, - = Not relevant)

**Table 2:** Overview of techniques for the collection of landslide information. Indicated is the applicability of each technique for small, medium, large and detailed mapping scales. (H= highly applicable, M= moderately applicable, and L= Less applicable)

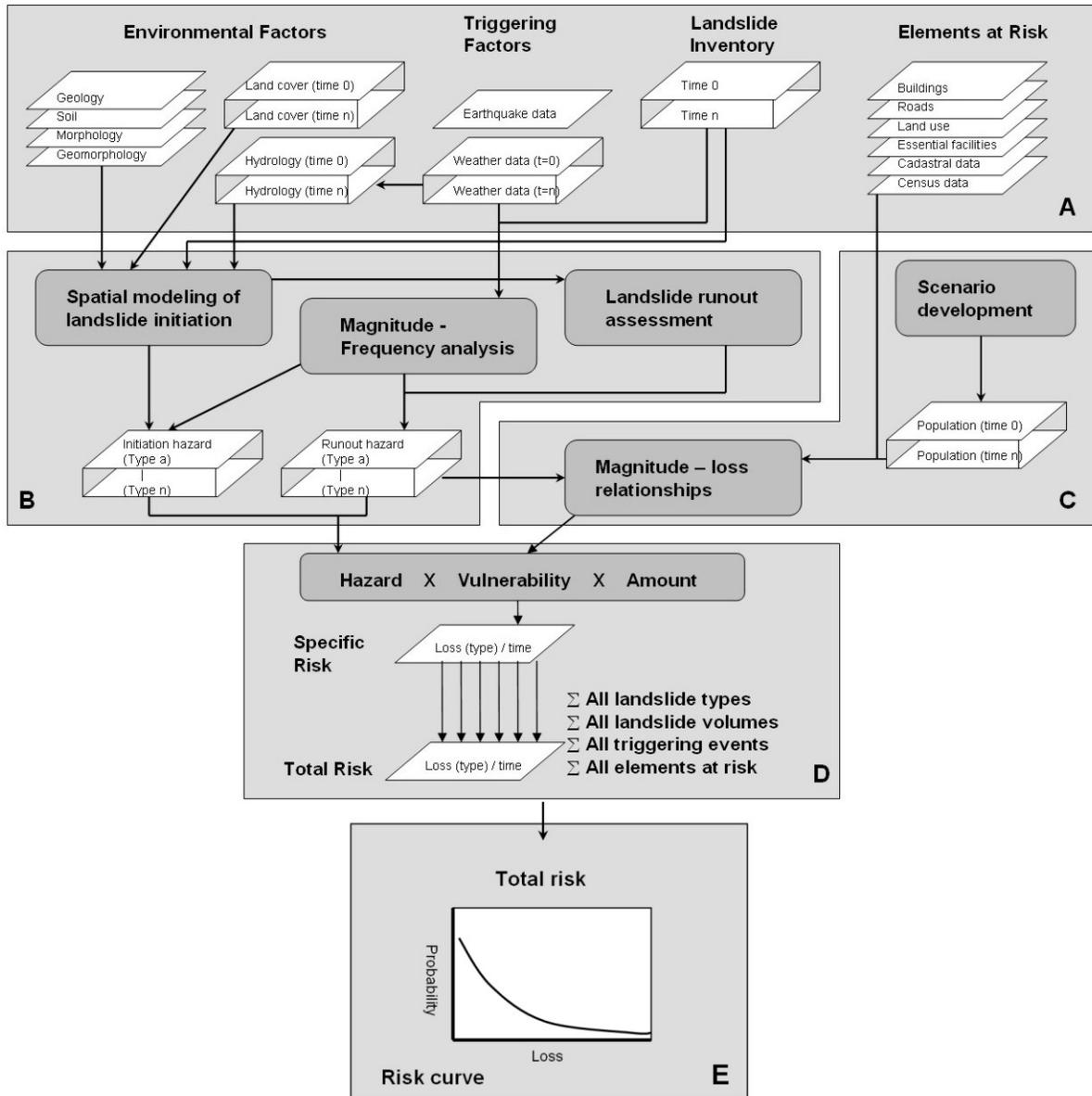
**Table 3:** Comparison of three landslide inventory maps generated after hurricane Mitch, shown in figure 4. The values show the percentage of the entire area.

**Table 4:** Overview of environmental factors, and their relevance for landslide susceptibility and hazard assessment. (H= highly applicable, M= moderately applicable, and L= Less applicable). Adapted from Soeters and van Westen (1996).

**Table 5:** Main sources for digital elevation models used in landslide hazard and risk assessment studies, and their application in the four defined mapping scales, (TG: too general, as the data is not sufficiently detailed for the mapping scale, TD: too detailed, and data collection too costly given the relatively low requirements at the given scale).

**Table 6:** Main elements at risk used in landslide risk assessment studies, and how they can be spatially represented in the four defined mapping scales.

Van Westen, Castellanos and Kuriakose  
 Figure 1:

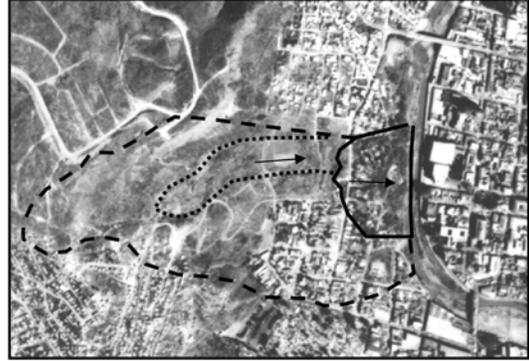


Van Westen, Castellanos and Kuriakose  
Figure 2:

A



B



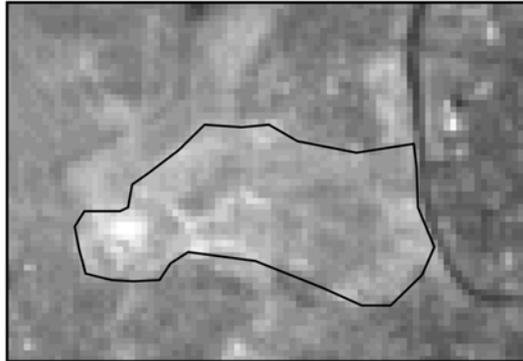
C



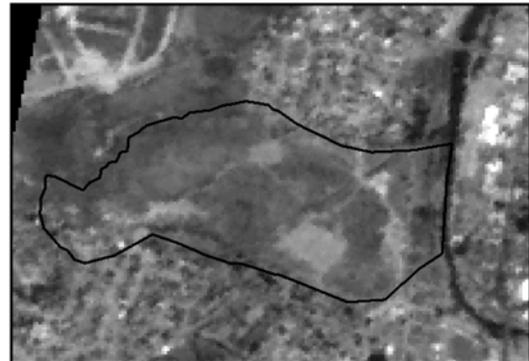
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E



F



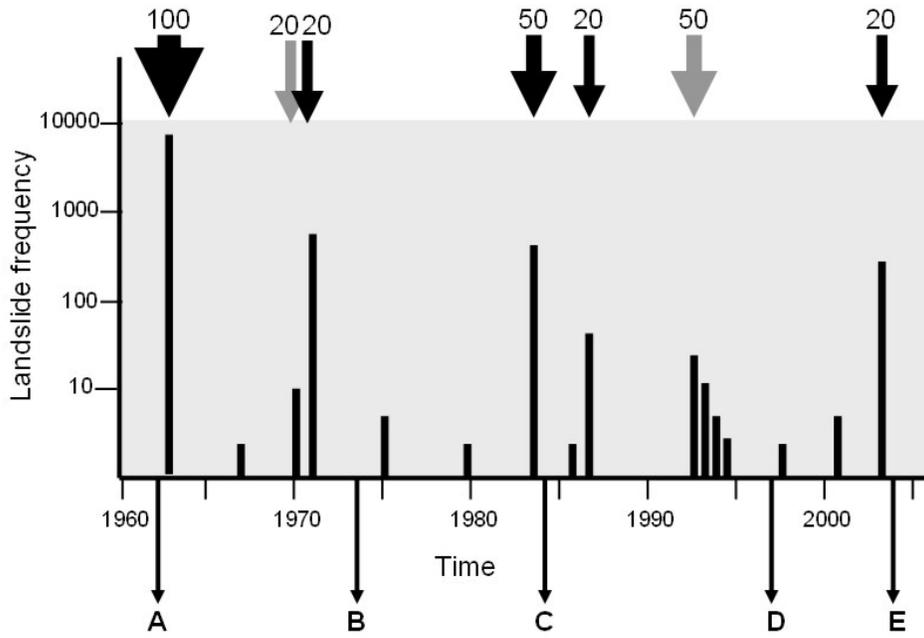
G



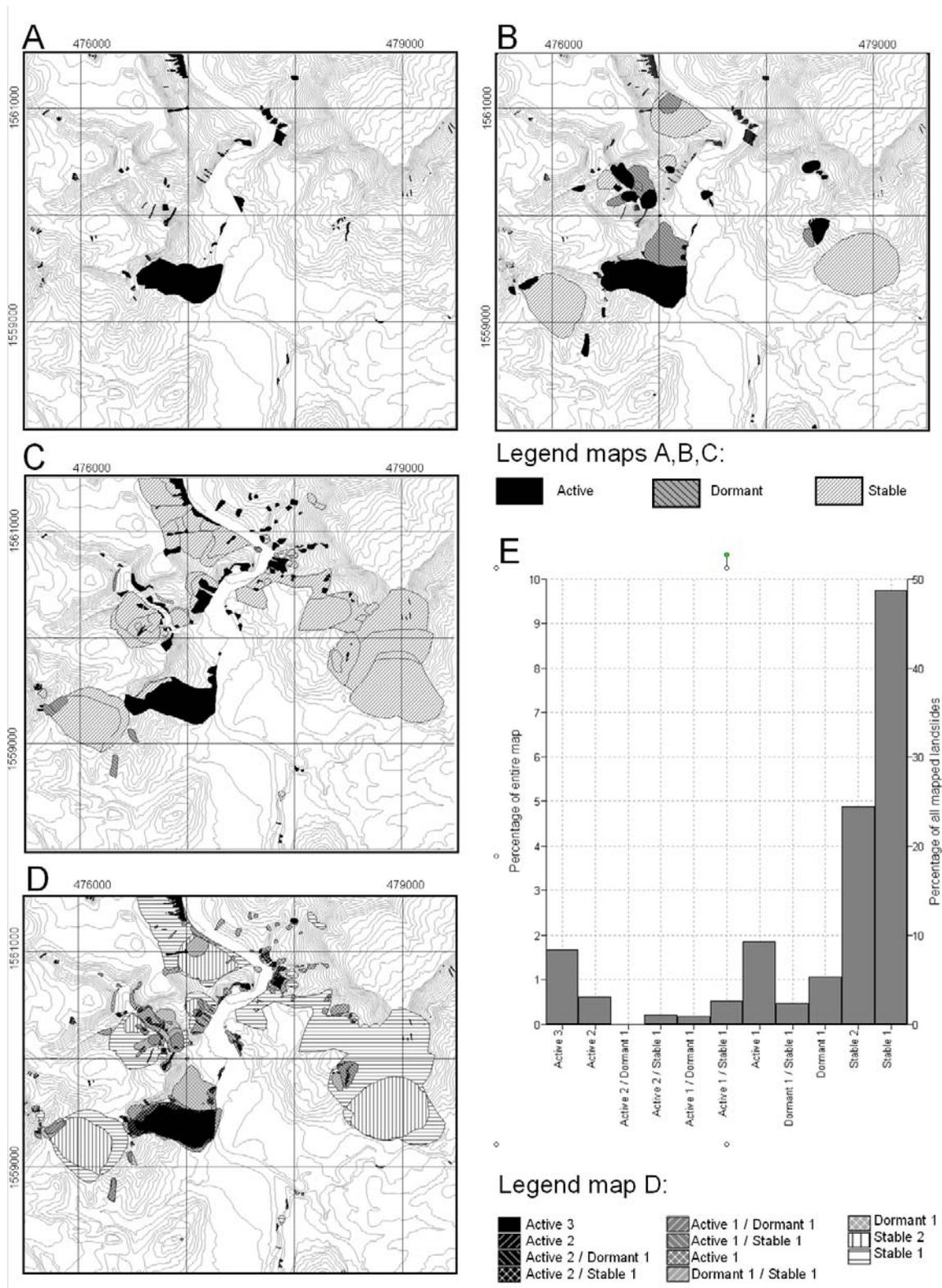
H



Van Westen, Castellanos and Kuriakose  
Figure 3:

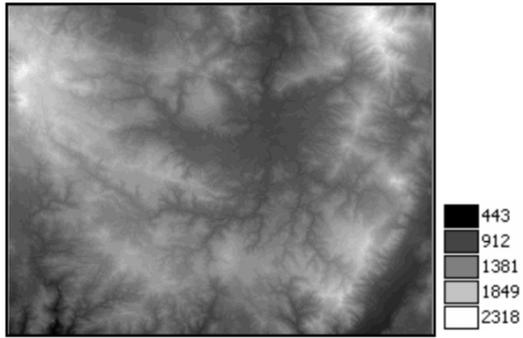


Van Westen, Castellanos and Kuriakose  
Figure 4:

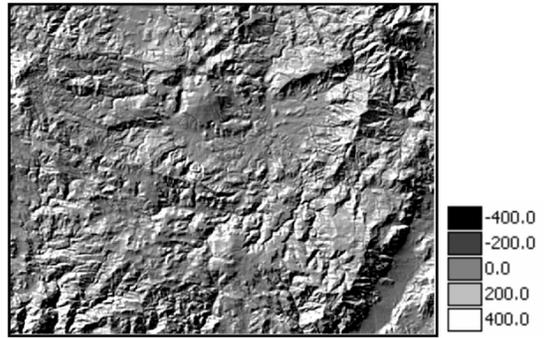


Van Westen, Castellanos and Kuriakose  
Figure 5:

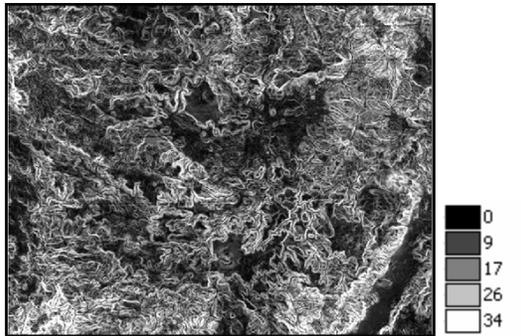
A



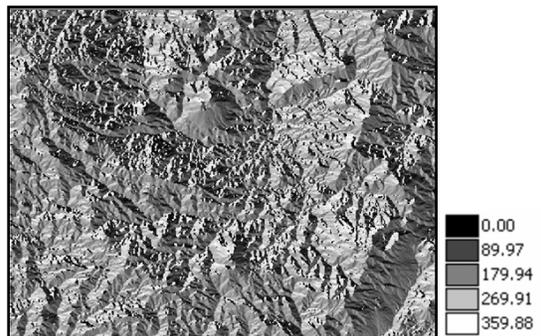
B



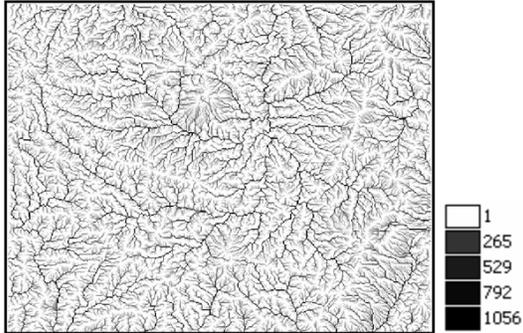
C



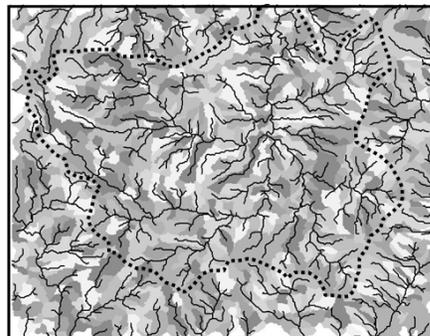
D



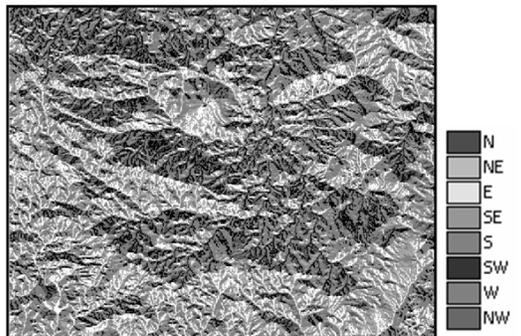
E



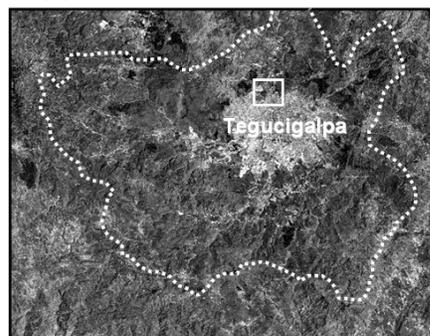
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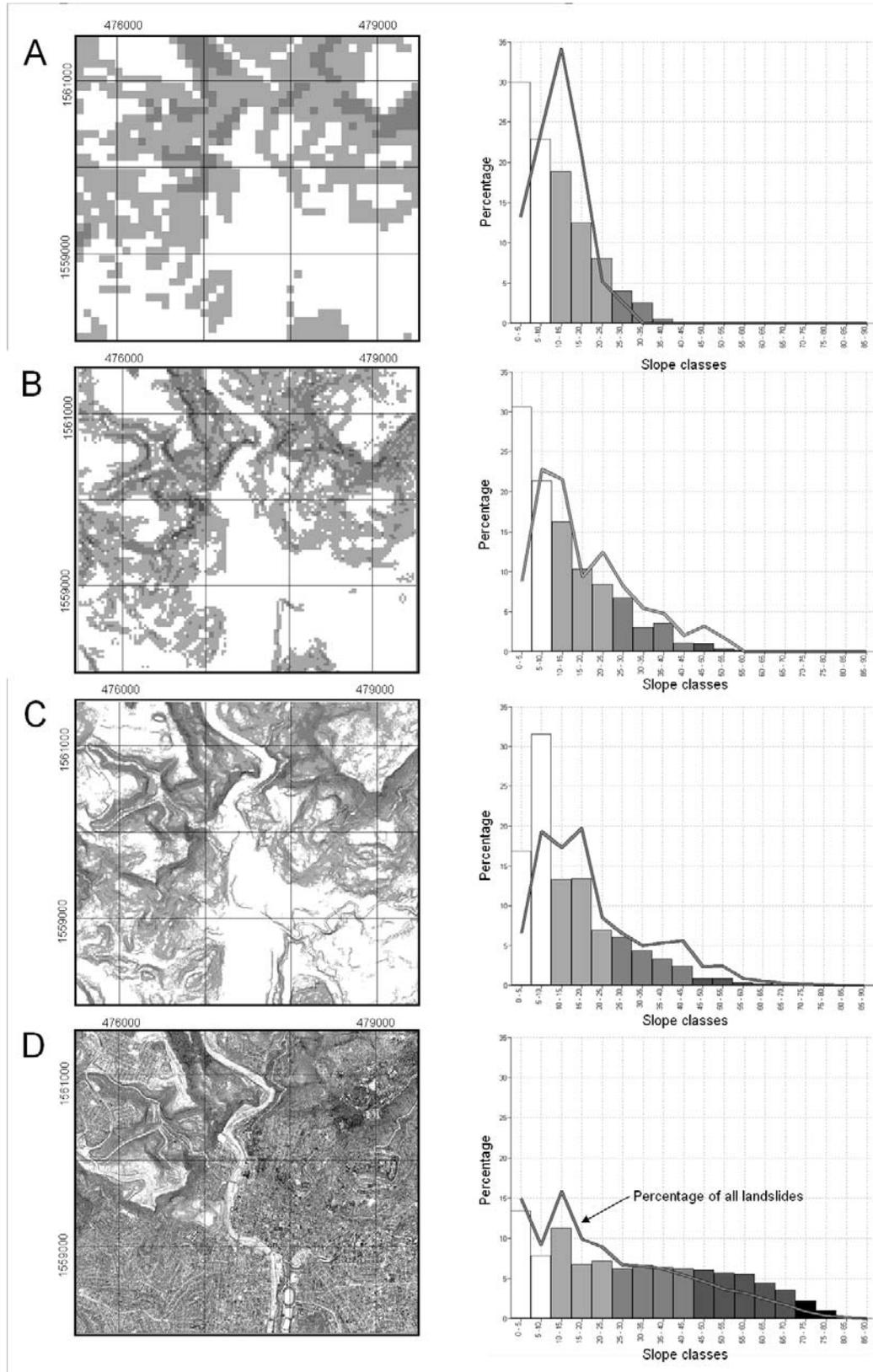
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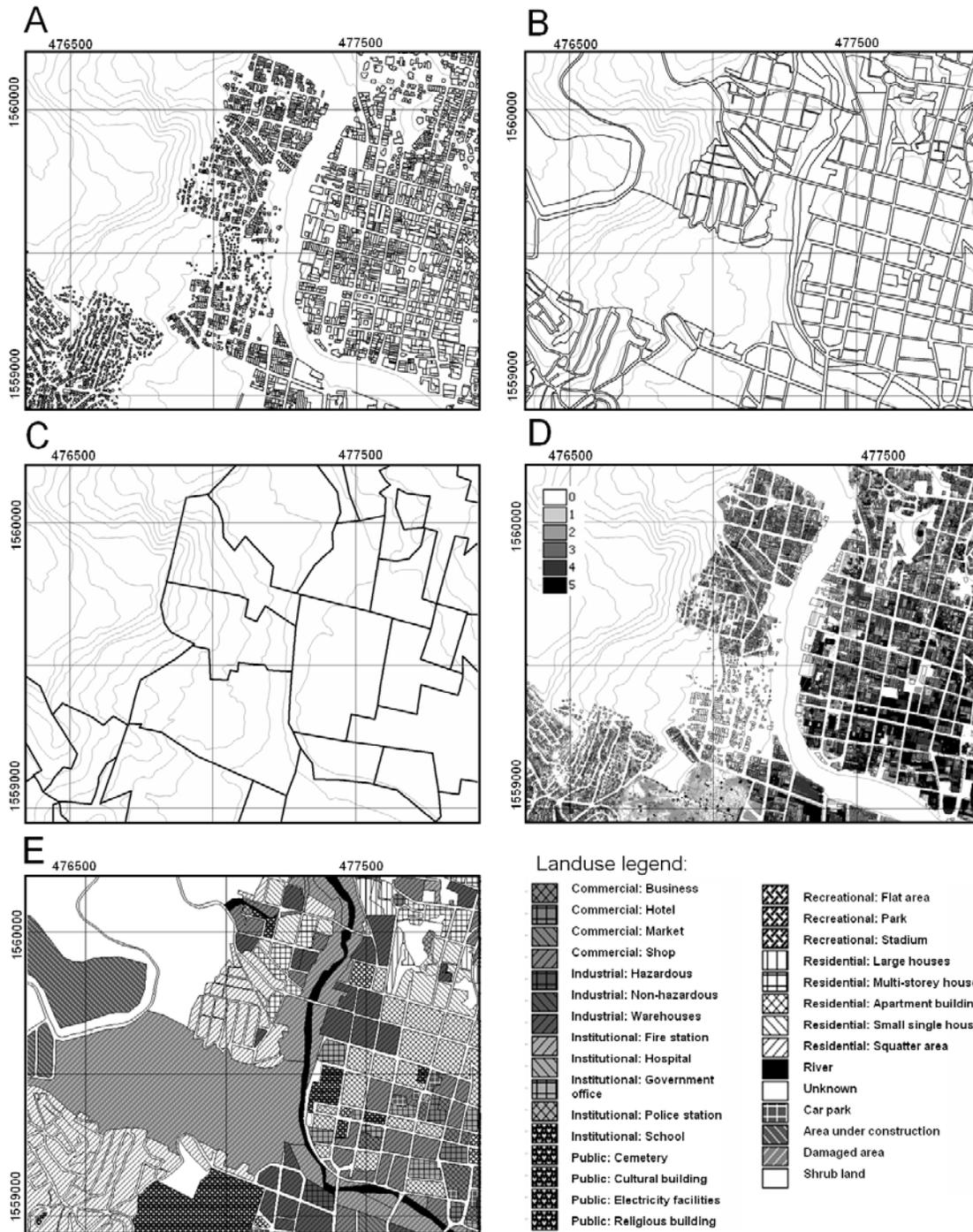
H



Van Westen, Castellanos and Kuriakose  
Figure 6:



Van Westen, Castellanos and Kuriakose  
Figure 7:



Van Westen, Castellanos and Kuriakose  
 Figure 8:

Criteria Tree		
Vulnerability index -- Pairwise		Vulnerability_Index
0.13 Generic social vulnerability indicators -- Pairwise		Social_vulnerability
0.09 Age related -- Pairwise		
0.50 Percentage children -- Std:Maximum		districts:Age_under_4
0.50 Percentage Elderly people -- Std:Maximum		districts:Age_over_65
0.57 Income related -- Pairwise		
0.50 Under poverty level -- Std:Goal(0.000,50.000)	1	mapping_units:Pover...
0.50 Unemployment -- Std:Maximum		wards:Unemployment
0.13 Ethnicity related		
1.00 Minority groups -- Std:Maximum		districts:minor
0.22 Social structure		
1.00 Single parent households -- Std:Maximum		mapping_units:Percen...
0.39 Hazard specific social vulnerability indicators -- Pairwise		Population_vulnerabili...
0.53 Seismic losses -- Pairwise		Seismic_pop_vuln
0.50 Daytime scenario		
0.50 Nighttime scenario		
0.06 Landslide losses -- Pairwise		
0.90 People in high susceptible zones -- Std:Goal(0.000,100.000)		Landslide_pop_vuln
0.10 People in moderate susceptible zones -- Std:Goal(0.000,100.000)		Landslide_risk_populat..
		Landslide_risk_populat..
0.28 Flood losses -- Pairwise		Flood_pop_vuln
0.50 Daytime scenario		
0.50 Nighttime scenario		
0.14 Technological disaster losses -- Pairwise		
0.50 Daytime scenario	2	
0.50 Nighttime scenario		Tech_pop_vuln
0.42 Hazard specific physical vulnerability indicators -- Pairwise		Building_vulnerability
0.57 Seismic vulnerability -- Pairwise		Seismic_Physical_vuln
0.05 Intensity VI -- Std:Goal(0.000,25.000)		Seismic_risk_buildings...
0.09 Intensity VII -- Std:Goal(0.000,25.000)		Seismic_risk_buildings...
0.20 Intensity VIII -- Std:Goal(0.000,25.000)		Seismic_risk_buildings...
0.66 Intensity IX -- Std:Goal(0.000,25.000)		Seismic_risk_buildings...
0.05 Landslide vulnerability -- Pairwise		Landslide_Physical_vu..
0.75 High hazard zones -- Std:Maximum		Landslide_risk_buildin...
0.18 Moderate hazard zones -- Std:Goal(0.000,115.000)	3	Landslide_risk_buildin...
0.07 Low hazard zones -- Std:Goal(0.000,414.000)		Landslide_risk_buildin...
0.22 Flood vulnerability -- Pairwise		Flood_physical_vuln
0.03 Return period 5 year -- Std:Maximum		Flood_risk_buildings:...
0.06 Return period 10 year -- Std:Goal(0.000,20.000)		Flood_risk_buildings:...
0.13 Return period 25 year -- Std:Goal(0.000,20.000)		Flood_risk_buildings:...
0.26 Return period 50 year -- Std:Goal(0.000,20.000)		Flood_risk_buildings:...
0.51 Return period 100 years -- Std:Goal(0.000,20.000)		Flood_risk_buildings:...
0.16 Technological vulnerability -- Pairwise		Tech_Physical_vuln
0.13 Pool fire scenario -- Std:Maximum		Technological_risk_bui..
0.88 BLEVE scenario -- Std:Goal(0.000,80.000)		Technological_risk_bui..
0.06 Capacity indicators -- Pairwise		Capacity indicators
0.83 Distance to emergency centers		Distance_emergency
1.00 Distance to hospitals -- Std:Convex(0.000,1789.071)	4	mapping_units:Distan...
0.17 Awareness		Awareness
1.00 Literacy rate -- Std:Maximum		wards:Literacy_rate

Van Westen, Castellanos and Kuriakose  
Table 1:

Data		Update frequency (years) 10..... 1. ... 0.002 (day)	RS	Scale				Hazard models				Risk methods	
Main Type	Data layer		Remote Sensing useful?	Small	Medium	Large	Detailed	Heuristic	Statistical	Deterministic	Probabilistic	(Semi) Quantitative	Qualitative
Landslide Inventory	Landslide Inventory	↔	H	C	H	H	H	C	H	H	H	Requires results of probabilistic hazard analysis	Requires results of heuristic, statistical or deterministic hazard analysis
	Landslide Activity	↔	H	M	C	C	C	H	C	C	C		
	Landslide Monitoring	↔	M	M	M	M	C	-	-	H	H		
Environmental factors	DEM	↔	H	H	C	C	C	H	C	C	C		
	Slope angle/aspects etc	↔	H	L	H	H	H	H	H	H	H		
	Internal relief	↔	H	H	M	L	L	H	L	-	-		
	Flow accumulation	↔	H	L	M	H	H	L	M	H	H		
	Lithology	↔	M	H	H	H	H	H	H	H	H		
	Structure	↔	M	H	H	H	H	H	H	H	H		
	Faults	↔	M	H	H	H	H	H	H	-	-		
	Soil types	↔	M	M	H	C	C	H	H	C	H		
	Soil depth	↔	-	-	L	C	C	-	-	C	H		
	Slope hydrology	↔	-	-	-	C	C	-	-	C	H		
	Main geomorphology units	↔	H	C	H	M	L	C	M	L	L		
	Detailed geomorph. units	↔	H	H	H	H	L	H	H	M	L		
	Land use types	↔	H	H	H	H	H	H	H	H	H		
	Land use changes	↔	H	M	H	H	C	H	H	C	C		
	Triggering factors	Rainfall	↔	L	M	M	C	C	H	H	C	C	
Temp / Evapotranspiration		↔	M	-	-	M	H	-	-	H	L		
Earthquake catalogs		↔	-	M	M	H	C	-	-	-	C		
Ground acceleration		↔	L	L	M	H	H	H	H	H	L		
Elements at risk	Buildings	↔	H	L	M	C	C	-	-	-	-	C	C
	Transportation networks	↔	H	M	M	M	H	M	M	M	M	H	H
	Lifelines	↔	-	-	L	L	M	-	-	-	-	L	L
	Essential facilities	↔	L	L	M	H	H	-	-	-	-	H	H
	Population data	↔	L	H	H	C	C	-	-	-	-	C	C
	Agriculture data	↔	H	L	M	H	M	-	-	-	-	L	M
	Economic data	↔	-	L	M	H	H	-	-	-	-	L	M
	Ecological data	↔	H	L	L	L	L	-	-	-	-	L	M

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Table 2:

Group	Technique	Description	Scale			
			Regional	Medium	Large	Detailed
<b>Image interpretation</b>	Stereo aerial photographs	Analog format or digital image interpretation with single or multi-temporal data set	M	H	H	H
	High Resolution satellite images	With monoscopic or stereoscopic images, and single or multi-temporal data set	M	H	H	H
	LiDAR shaded relief maps	Single or multi-temporal data set from bare earth model.	L	M	H	H
	Radar images	Single data set	L	M	M	M
<b>(Semi) automated classification based on spectral characteristics</b>	Aerial photographs	Image ratioing, thresholding	M	H	H	H
	Medium resolution multi spectral images	Single data images, with pixel based image classification or image segmentation	H	H	H	M
		Multiple date images, with pixel based image classification or image segmentation	H	H	H	M
	Using combinations of optical and radar data	Either use image fusion techniques or multi-sensor image classification, either pixel based or object based	M	M	M	M
<b>(Semi) automated classification based on altitude characteristics</b>	InSAR	Radar Interferometry for information over larger areas	M	M	M	M
		Permanent scatterers for pointwise displacement data	H	H	H	H
	LiDAR	Overlaying of LiDAR DEMs from different periods	L	L	M	H
	Photogrammetry	Overlaying of DEMs from airphotos or high resolution satellite images for different periods	L	M	H	H
<b>Field investigation methods</b>	Field mapping	Conventional method	M	H	H	H
		Using Mobile GIS and GPS for attribute data collection	L	H	H	H
	Interviews	Using questionnaires, workshops etc.	L	M	H	H
<b>Archive studies</b>	Newspaper archives	Historic study of newspaper, books and other archives	H	H	H	H
	Road maintenance organizations	Relate maintenance information along linear features with possible cause by landslides	L	M	H	H
	Fire brigade/police	Extracting landslide occurrence from logbooks on accidents	L	M	H	H
<b>Dating methods for landslides</b>	Direct dating method	Dendrochronology, radiocarbon dating etc.	L	L	L	M
	Indirect dating methods	Pollen analysis, lichenometry and other indirect methods,	L	L	L	L
<b>Monitoring networks</b>	Extensometer etc.	Continuous information on movement velocity using extensometers, surface tiltmeters, inclinometers, piezometers	-	-	L	H
	EDM	Network of Electronic Distance Measurements, repeated regularly	-	-	L	H
	GPS	Network of Differential GPS measurements, repeated regularly	-	-	L	H
	Total stations	Network of Theodolite measurements, repeated regularly	-	-	L	H
	Ground-based InSAR	Using ground-based radar with slide rail, repeated regularly	-	-	L	H
	Terrestrial LiDAR	Using terrestrial laser scanning, repeated regularly	-	-	L	H

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 Table 3:

		Map B				
		Active	Dormant	Stable	None	Total
Map A	Active	2.118	0.000	0.000	0.146	2.26
	Dormant	0.000	0.000	0.000	0.000	0.00
	Stable	0.000	0.000	0.000	0.000	0.00
	None	1.566	1.421	5.322	89.426	97.74
	Total	3.68	1.42	5.32	89.57	100.00

		Map B				
		Active	Dormant	Stable	None	Total
Map C	Active	1.986	0.074	0.052	1.074	3.19
	Dormant	0.166	0.000	0.074	0.137	0.38
	Stable	0.669	0.424	4.882	9.388	15.36
	None	0.864	0.923	0.358	78.929	81.07
	Total	3.68	1.42	5.37	89.53	100.00

		Map A				
		Active	Dormant	Stable	None	Total
Map C	Active	1.681	0.000	0.000	1.505	3.19
	Dormant	0.000	0.000	0.000	0.334	0.33
	Stable	0.206	0.000	0.000	15.157	15.36
	None	0.377	0.000	0.000	80.740	81.12
	Total	2.26	0.00	0.00	97.74	100.00

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Table 4:

Group	Data layer and types	Relevance for landslide susceptibility and hazard assessment	Scales of analysis			
			Regiona	Medium	Large	Detailed
<b>Digital Elevation Models</b>	Slope gradient	Most important factor in gravitational movements	L	H	H	H
	Slope direction	Might reflect differences in soil moisture and vegetation	H	H	H	H
	Slope length/shape	Indicator for slope hydrology	M	H	H	H
	Flow direction	Used in slope hydrological modeling	L	M	H	H
	Flow accumulation	Used in slope hydrological modeling	L	M	H	H
	Internal relief	Used in small scale assessment as indicator for type of terrain.	H	M	L	L
<b>Geology</b>	Drainage density	Used in small scale assessment as indicator for type of terrain.	H	M	L	L
	Rock types	Lithological map based on engineering characteristics rather than on stratigraphic classification.	H	H	H	H
	Weathering	Depth of weathering profile is an important factor for landslides	L	M	H	H
	Discontinuities	Discontinuity sets and characteristics relevant for rock slides	L	M	H	H
	Structural aspects	Geological structure in relation with slope angle and direction is relevant for predicting rock slides.	H	H	H	H
<b>Soils</b>	Faults	Distance from active faults or width of fault zones is important factor for predictive mapping.	H	H	H	H
	Soil types	Engineering soil types, based on genetic or geotechnical classification	M	H	H	H
	Soil depth	Soil depth based on boreholes, geophysics and outcrops is crucial data layer in stability analysis	L	M	H	H
	Geotechnical properties	Grain size distribution, cohesion, friction angle, bulk density are the crucial parameters for slope stability analysis	L	M	H	H
	Hydrological properties	Pore volume, saturated conductivity, PF curve are the main parameters used in groundwater modeling	L	M	H	H
<b>Hydrology</b>	Water table	Spatially and temporal varying depth to ground water table	L	L	M	H
	Soil moisture	Spatially and temporal varying soil moisture content is one of main components in stability analysis	L	L	M	H
	Hydrologic components	Interception, Evapotranspiration, throughfall, overland flow, infiltration, percolation etc.	M	H	H	H
	Stream network	Buffer zones around first order streams, or buffers around eroding rivers	H	H	H	L
<b>Geomorphology</b>	Physiographic units	Gives a first subdivision of the terrain in zones, which is relevant for small scale mapping	H	M	L	L
	Terrain Mapping Units	Homogeneous units with respect to lithology, morphography and processes	H	M	L	L
	Geomorphological units	Genetic classification of main landform building processes, their	H	H	M	L
	Geomorphological (sub)units	Geomorphological subdivision of the terrain in smallest units, also called slope facets	H	H	H	L
<b>Landuse</b>	Land use map	Type of land use/ land cover is a main components in stability analysis	H	H	H	H
	Land use changes	Temporal varying land use/ land cover is a main components in stability analysis	M	H	H	H
	Vegetation characteristics	Vegetation type, canopy cover, rooting depth, root cohesion, weight etc.	L	M	H	H
	Roads	Buffers around roads in sloping areas with road cuts are often used as factor maps.	M	H	H	H
	Buildings	Areas with slope cuts made for building construction are sometimes used as factor	M	H	H	H

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Table 5:

Method	Examples	Scale of analysis			
		Small	Medium	Large	Detailed
<b>Global DEMs</b>	ETOPO2 (1.86 km pixel)	Hillshading Physiography Internal relief Drainage density	TG	TG	TG
<b>Contour map derived DEMs</b>	1:100.000 (40 m cont.int)	Hillshading Physiography Internal relief Drainage density	TG	TG	TG
	1:25.000 (10 m cont.int)	Hillshading Physiography Internal relief Drainage density	DEM Derivatives : slope steepness, aspect, length, convexity etc.	TG	TG
	1:10.000 ( 5 m cont.int)	TD	DEM Derivatives : slope steepness, aspect, length, convexity etc.	Slope angles Flow accumulation Run out modeling	TG
	1:5.000 ( 2 m cont.int)	TD	TD	Slope angles Flow accumulation Run out modeling	Slope angles Flow accumulation Run out modeling
<b>Medium resolution Satellite derived DEMS</b>	SRTM (30 – 90 m pixel)	Hillshading Physiography Internal relief Drainage density	TG	TG	TG
	ASTER (15 m pixel)	Hillshading Physiography Internal relief Drainage density	DEM Derivatives : slope steepness, aspect, length, convexity etc.	TG	TG
<b>High Resolution Satellite derived DEMs</b>	Quickbird, IKONOS ( 1 – 4 m)	TD	DEM Derivatives : slope steepness, aspect, length, convexity etc.	Slope angles Flow accumulation Run out modeling Change detection	Slope angles Flow accumulation Run out modeling Change detection
	PRISM, CARTOSAT (2.5 m)	TD	DEM Derivatives : slope steepness, aspect, length, convexity etc.	Change detection Slope angles Flow accumulation Run out modeling	Change detection Slope angles Flow accumulation Run out modeling
<b>InSAR</b>	RADARSAT, ENVISAT etc.	TD	Landslide monitoring Change detection	Landslide monitoring Change detection	Landslide monitoring Change detection
<b>LiDAR</b>	ALTM, ALS (1 m DEM)	TD	DEM Derivatives : slope steepness, aspect, length, convexity etc. Run out modeling DSM Building extraction	Landslide monitoring Slope angles Flow accumulation Run out modeling DSM Building extraction	Landslide monitoring Slope angles Flow accumulation Run out modeling DSM Building extraction

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Table 6:

Type of elements at risk	Scale of analysis			
	Small	Medium	Large	Detailed
<b>Buildings</b>	By Municipality <ul style="list-style-type: none"> <li>Nr. buildings</li> </ul>	Mapping units <ul style="list-style-type: none"> <li>Predominant land use</li> <li>Nr. buildings</li> </ul>	Building footprints <ul style="list-style-type: none"> <li>Generalized use</li> <li>Height</li> <li>Building types</li> </ul>	Building footprints <ul style="list-style-type: none"> <li>Detailed use</li> <li>Height</li> <li>Building types</li> <li>Construction type</li> <li>Quality / Age</li> <li>Foundation</li> </ul>
<b>Transportation networks</b>	General location of transportation networks	Road & railway networks, with general traffic density information	All transportation networks with detailed classification, including viaducts etc. & traffic data	All transportation networks with detailed engineering works & detailed dynamic traffic data
<b>Lifelines</b>	Main powerlines	Only main networks <ul style="list-style-type: none"> <li>Water supply</li> <li>Electricity</li> </ul>	Detailed networks: <ul style="list-style-type: none"> <li>Water supply</li> <li>Waste water</li> <li>Electricity</li> <li>Communication</li> <li>Gas</li> </ul>	Detailed networks and related facilities: <ul style="list-style-type: none"> <li>Water supply</li> <li>Waste water</li> <li>Electricity</li> <li>Communication</li> <li>Gas</li> </ul>
<b>Essential facilities</b>	By Municipality <ul style="list-style-type: none"> <li>Number of essential facilities</li> </ul>	As points <ul style="list-style-type: none"> <li>General characterization</li> <li>Buildings as groups</li> </ul>	Individual building footprints <ul style="list-style-type: none"> <li>Normal characterization</li> <li>Buildings as groups</li> </ul>	Individual building footprints <ul style="list-style-type: none"> <li>Detailed characterization</li> <li>Each building separately</li> </ul>
<b>Population data</b>	By Municipality <ul style="list-style-type: none"> <li>Population density</li> <li>Gender</li> <li>Age</li> </ul>	By ward <ul style="list-style-type: none"> <li>Population density</li> <li>Gender</li> <li>Age</li> </ul>	By Mapping unit <ul style="list-style-type: none"> <li>Population density</li> <li>Daytime/Nighttime</li> <li>Gender</li> <li>Age</li> </ul>	People per building <ul style="list-style-type: none"> <li>Daytime/Nighttime</li> <li>Gender</li> <li>Age</li> <li>Education</li> </ul>
<b>Agriculture data</b>	By Municipality <ul style="list-style-type: none"> <li>Crop types</li> <li>Yield information</li> </ul>	By homogeneous unit, <ul style="list-style-type: none"> <li>Crop types</li> <li>Yield information</li> </ul>	By cadastral parcel <ul style="list-style-type: none"> <li>Crop types</li> <li>Crop rotation</li> <li>Yield information</li> <li>Agricultural buildings</li> </ul>	By cadastral parcel, for a given period of the year <ul style="list-style-type: none"> <li>Crop types</li> <li>Crop rotation &amp; time</li> <li>Yield information</li> </ul>
<b>Economic data</b>	By region <ul style="list-style-type: none"> <li>Economic production</li> <li>Import / export</li> <li>Type of economic activities</li> </ul>	By Municipality <ul style="list-style-type: none"> <li>Economic production</li> <li>Import / export</li> <li>Type of economic activities</li> </ul>	By Mapping unit <ul style="list-style-type: none"> <li>Employment rate</li> <li>Socio-economic level</li> <li>Main income types</li> <li>Plus larger scale data</li> </ul>	By building <ul style="list-style-type: none"> <li>Employment</li> <li>Income</li> <li>Type of business</li> <li>Plus larger scale data</li> </ul>
<b>Ecological data</b>	Natural protected areas with international approval	Natural protected area with national relevance	General flora and fauna data per cadastral parcel.	Detailed flora and fauna data per cadastral parcel